



Company assessed

Producer **Flat Top Ranch LLC** GGN 4052852329293 Certification Option 1
 Address Wehe Loop 80PrescottWAUnited States. (Lat: 46.325671100000000, Lon: -118.752827500000000)
 Contact Person Adrian Silva
 Company Profile



Assessment details

The audit report is final if the audit status is "Closed." Any other status reflects a preliminary report. The audit process is based on a sampling process and reflects the information evaluated during the audit. The auditor declares that an independent and impartial audit has been carried out without conflicts of interest. The audit report may not be used as proof of certification and shall not be given to any party other than in full. The distribution of the report is regulated by the GLOBALG.A.P. data access rules.

For validation of the report, contact: GlobalGAP@eaglecertificationgroup.com
 Standards assessed: INTEGRATED FARM ASSURANCE: AF+CB+FV. v5.3-GFS
 Assessment conducted remotely?: No
 Notes on the assessment:

Audit language: English

Date start: 02 September 2021

Date end: 02 September 2021

Audit Personnel

Certification Body: Eagle Certification Group

Name	Standard	Role	Initials
Rachel Miller	IFA	Inspector	RM

Key persons present during the Assessment

Name	Company	Position

Audit duration per day

Date	Assessor initials	Sites/products/Processes assessed	Start Time	End Time

IFA Assessment details

Standards assessed: INTEGRATED FARM ASSURANCE: AF+CB+FV. v5.3-GFS
 Assessment type: Renewal
 Audit has been conducted in two stages?: No
 Does the producer participate in the unannounced reward program?: No
 Food Safety Policy Declaration confirmed?: Yes

Certification Committee Decision

Certification Committee Decision: Positive
 Decision date: 10 October 2021
 Certification committee decision taken by: Todd McNeill
 Comments: Approved for GG01 certification.

Certification Cycle

Date start: 10 October 2021

Date end: 09 October 2022



Products

Scope -Product included in the certification scope.

Apples,Cherries

Assessed -Products inspected in this assessments.

Apples,Cherries

Harvest -Products seen during harvest in this assessment.

Apples

PHU -Products seen during packing-handling in this assessment.

New products -Products that are included in the certification scope for the first time.

PP/PO

PP -Parallel production (production of certified and non-certified products). Indicate product that have been registered for PP. **No**

PO -Parallel ownership (trade/packing/handling of certified and non-certified products). Indicate product that have been registered for PO. **No**

Trade -Does the producer buy certified product from external sources. Indicate products. **No**

Sites assessed

Site ID

Site Name

Summary

INTEGRATED FARM ASSURANCE

Type	%Compliance	Yes	No	NA	Not answered
Major Must	100.0%	67	0	26	0
Minor Must	100.0%	86	0	28	0
Recommendation	70.0%	7	3	5	0

Non-compliance/Corrective actions

INTEGRATED FARM ASSURANCE

AF 7.1.2 Recommendation Open Due date:	CreateBy: Andrew Rausch
NC: No tangible actions in place to enhance the environment. CA:	

AF 7.3.2 Recommendation Open Due date:	CreateBy: Andrew Rausch
NC: A plan to improve energy efficiency has not been finalized. CA:	

CB 7.3.9 Recommendation Open Due date:	CreateBy: Andrew Rausch
NC: No evidence provided that measures have been taken to prevent drift from neighboring plots. CA:	




Checklist details


AF ALL FARM BASE

AF 1 SITE HISTORY AND SITE MANAGEMENT

AF 1.1 Site History


AF 1.1.1 Is there a reference system for each field, orchard, greenhouse, yard, plot, livestock building/pen, and/or other **Major Must** area/location used in production? 

Justification: There are farm maps that include the location of each unit of production as well as identifying water sources and facilities. Application and activity records reference block ID for recordkeeping and traceback purposes. cherry: 232acres apple: 2459acres harvests seen


AF 1.1.2 Is a recording system established for each unit of production or other area/location to provide a record of the **Major Must** livestock/aquaculture production and/or agronomic activities undertaken at those locations? 

Justification: There is a recording system covering production activities for each unit of production. Records for the 2020/21 were reviewed for cherry and apple

AF 1.2 Site Management


AF 1.2.1 Is there a risk assessment available for all sites registered for certification (this includes rented land, structures, **Major Must** and equipment) and does this risk assessment show that the site in question is suitable for production, with regards to food safety, the environment, and health and welfare of animals in the scope of the livestock and aquaculture certification where applicable? 

Justification: There is a risk assessment covering all agricultural areas signed by Adrian Silva and updated on 8/19/21. The risk assessment takes into account the site history and all AF Annex 2 elements. The sites appear to be appropriate for intended production.


AF 1.2.2 Has a management plan that establishes strategies to minimize the risks identified in the risk assessment (AF **Major Must** 1.2.1) been developed and implemented? 

Justification: A management plan dated 8/19/21 is in place covering the risks identified in AF 1.2.1 including erosion from tractors.

AF 2 RECORD KEEPING AND INTERNAL SELF-ASSESSMENT/INTERNAL INSPECTION


AF 2.1 Are all records requested during the external inspection accessible and kept for a minimum period of 2 years, **Major Must** unless a longer requirement is stated in specific control points? 

Justification: Records of 2020/21 available to review.

AF 2.2 Does the producer take responsibility to conduct a minimum of one internal self-assessment per year against **Major Must** the GLOBALG.A.P. Standard? 


Justification: Yes done by Adrian Silva on 6/2/21




AF 2.3 Major Must Have effective corrective actions been taken as a result of non-conformances detected during the internal self-assessment or internal producer group inspections? 

Justification: 7 findings closed on 6/15/21


AF 3 HYGIENE

AF 3.1 Minor Must Does the farm have a written risk assessment for hygiene? 


Justification: There is a hygiene risk assessment for production activities which includes employees, bathrooms, handwashing, etc. Signed by Adrian Silva and dated 8/19/21

AF 3.2 Minor Must Does the farm have a documented hygiene procedure and visibly displayed hygiene instructions for all workers and visitors to the site whose activities might pose a risk to food safety? 

Justification: The hygiene procedures address potential risks identified in the risk assessment and appear to be complete. Signs are in Spanish and English and posted in all relevant locations.

AF 3.3 Minor Must Have all persons working on the farm received annual hygiene training appropriate to their activities and according to the hygiene instructions in AF 3.2? 


Justification: There has been a basic training course for hygiene, which includes management and staff. Ex: cherry: Date of Training: reviewed 73 training records 6/10/21 Apple pickers interviewed, training form shows 8/24/21 24 signatures, Jose Luis F.

AF 3.4 Major Must Are the farm's hygiene procedures implemented? 


Justification: Visual inspection indicates all workers were complying with the hygiene procedures of the producer. Jose interviewed and demonstrated a good understanding of the Company's hygiene policies and procedures.

AF 4 WORKERS' HEALTH, SAFETY, AND WELFARE


AF 4.1 Health and Safety

AF 4.1.1 Minor Must Does the producer have a written risk assessment for hazards to workers' health and safety? 

Justification: There is a risk assessment for hazards to worker health and safety appropriate for the farm, carried out by Adrian Silva and reviewed on 7/10/21.

AF 4.1.2 Minor Must Does the farm have written health and safety procedures addressing issues identified in the risk assessment of AF 4.1.1? 

Justification: Written health and safety procedures address the hazards identified in AF 4.1.1 and are appropriate for the operation. They include safe operating procedures for equipment and tools plus emergency contact information in the event of an accident. Procedures are reviewed annually and written in Spanish and English.

AF 4.1.3 Minor Must Have all people working on the farm received health and safety training according to the risk assessment in AF 4.1.1? 

Justification: Reviewed training records cherry: Date of Training: reviewed 73 training records 6/10/21 Apple pickers interviewed, training form shows 8/24/21 24 signatures, Jose Luis F.



AF 4.2 Training

AF 4.2.1 Is there a record kept for training activities and attendees? ✔
Minor Must

Justification: Records of training activities are maintained and available for review. Reviewed training records. See comments for AF 3.3 and AF 4.1.3.

AF 4.2.2 Do all workers handling and/or administering veterinary medicines, chemicals, disinfectants, plant protection products, biocides, and/or other hazardous substances and all workers operating dangerous or complex equipment as defined in the risk analysis in AF 4.1.1 have evidence of competence or details of other such qualifications? ✔
Major Must

Justification: Yes. Pesticide application license provided for Brayton DeVoir #97250 exp 12/3121

AF 4.3 Hazards and First Aid

AF 4.3.1 Do accident and emergency procedures exist? Are they visually displayed, and are they communicated to all persons associated with the farm activities, including subcontractors and visitors? ✔
Minor Must

Justification: Written procedures exist for dealing with accidents/emergencies that include the farm address, contact person(s) and relevant phone numbers. The instructions are written in English and Spanish and posted at chemical storage.

AF 4.3.2 Are potential hazards clearly identified by warning signs? ✔
Minor Must

Justification: hazards are marked around farm

AF 4.3.3 Is safety advice for substances hazardous to workers' health available/accessible? ✔
Minor Must

Justification: MSDS available at in front of shop.

AF 4.3.4 Are first aid kits available at all permanent sites and in the vicinity of fieldwork? ✔
Minor Must

Justification: There are first aid kits located in various places including chemical storage. First aid kits were inspected and stocked with items required for basic first aid such as bandages, gauze and tape. Interviews were conducted.

AF 4.3.5 Are there always an appropriate number of persons (at least one person) trained in first aid present on each farm whenever on-farm activities are being carried out? ✔
Minor Must

Justification: Yes. First aid training by Firepoint for 18 signatures on 2/24/21, ex Jose Carrillo

AF 4.4 Protective Clothing/Equipment

AF 4.4.1 Are workers, visitors, and subcontractors equipped with suitable protective clothing in accordance with legal requirements and/or label instructions and/or as authorized by a competent authority? ✔
Major Must

Justification: All protective clothing required per label instructions, is present and in sufficient quantity, including spray suits, respirators, gloves and boots.



AF 4.4.2 Major Must Is protective clothing cleaned after use and stored in such a way as to prevent contamination of personal clothing?

Justification: All protective clothing required per label instructions, is present and in sufficient quantity, including spray suits, respirators, gloves and boots.

AF 4.5 Worker Welfare

AF 4.5.1 Major Must Is a member of management clearly identifiable as responsible for the workers' health, safety, and welfare?

Justification: The written designation of the person responsible for health, safety and Welfare is Adrian Silva

AF 4.5.2 Minor Must Does regular two-way communication take place between management and workers on issues related to workers' health, safety, and welfare? Is there evidence of actions taken from such communication?

Justification: Regular meetings are held during the growing season. No actions have arisen from the meetings.

AF 4.5.3 Major Must Do workers have access to clean food storage areas, designated rest areas, handwashing facilities, and drinking water?

Justification: Workers have access to their private vehicles and also have access to toilets, hand wash stations and clean drinking water.

AF 4.5.4 Major Must Are on-site living quarters habitable and have the basic services and facilities?

Justification: flat top fishhook house permit# WA-0878-TWH 96 people. Exp 2/28/22

AF 4.5.5 Minor Must Is transport for workers (on-farm, to and from fields/orchard) as provided by the producer safe and compliant with national regulations when used to transport workers on public roads?

Justification: farm vehicles are current in insurance and tabs.

AF 5 SUBCONTRACTORS

AF 5.1 Major Must When the producer makes use of subcontractors, do they oversee their activities in order to ensure that those activities relevant to GLOBALG.A.P. CPCC comply with the corresponding requirements?

Justification: none used


AF 6 WASTE AND POLLUTION MANAGEMENT, RECYCLING, AND RE-USE

AF 6.1 Identification of Waste and Pollutants


AF 6.1.1 Minor Must Have possible waste products and sources of pollution been identified in all areas of the farm?

Justification: All sources of pollution and possible waste products have been identified including paper, tires, oil, cardboard, exhaust, garbage, surplus application mix and tank washings.


**AF 6.2 Waste and Pollution Action Plan**

AF 6.2.1 Is there a documented farm waste management plan to avoid and/or minimize wastage and pollution to the extent possible, and does the waste management plan include adequate provisions for waste disposal? 


Minor Must
Justification: Consideration has been given to the conversion of unproductive sites. Ex: unfarmed areas are left in natural state.

AF 6.2.2 Is the site kept in a tidy and orderly condition? 
Major Must


Justification: The site appears to be clean and tidy at the time of the inspection.

AF 6.2.3 Are holding areas for diesel and other fuel oil tanks environmentally safe? 
Minor Must

Justification: sample electric invoice: Franklin PUD 5/31/21 sample fuel: Co-energy 3/4/21

AF 6.2.4 Provided there is no risk of pest, disease, and weed carry-over, are organic wastes composted on the farm *Recommendation* and recycled? 


Justification: Organic waste is mulched into the soil.

AF 6.2.5 Is the water used for washing and cleaning purposes disposed of in a manner that ensures the minimum *Recommendation* health and safety risks and environmental impact? 


Justification: Waste water is being disposed of properly.

AF 7 CONSERVATION

AF 7.1 Impact of Farming on the Environment and Biodiversity (Cross-Reference with AQ 9 of the Aquaculture Module)


AF 7.1.1 Does each producer have a wildlife management and conservation plan for the farm business that *Minor Must* acknowledges the impact of farming activities on the environment? 

Justification: Yes. A Conservation Plan has been provided and was signed by Adrian Silva R-10 7/8/21

AF 7.1.2 Has the producer considered how to enhance the environment for the benefit of the local community and *Recommendation* flora and fauna? Is this policy compatible with sustainable commercial agricultural production and does it strive to minimize environmental impact of the agricultural activity? 

Justification: No tangible actions in place to enhance the environment.

AF 7.2 Ecological Upgrading of Unproductive Sites

AF 7.2.1 Has consideration been given to the conversion of unproductive sites (e.g. low-lying wet areas, woodlands, *Recommendation* headland strips, or areas of impoverished soil, etc.) to ecological focus areas for the encouragement of natural flora and fauna? 

Justification: Consideration has been given to the conversion of unproductive sites. Ex: unfarmed areas are left in natural state.



AF 7.3 Energy Efficiency

AF 7.3.1 Can the producer show monitoring of on-farm energy use?
Minor Must



Justification: Producer monitors electricity and fuel use on the ranch. Maintenance logs are kept for tractors.

AF 7.3.2 Based on the result of the monitoring, is there a plan to improve energy efficiency on the farm?
Recommendation



Justification: A plan to improve energy efficiency has not been finalized.

AF 7.3.3 Does the plan to improve energy efficiency consider minimizing the use of non-renewable energy?
Recommendation



Justification: hydro electric sourced.

AF 7.4 Water Collection/Recycling

AF 7.4.1 Where feasible, have measures been implemented to collect water and, where appropriate, to recycle taking into consideration all food safety aspects?
Recommendation



Justification: Water collection not practical for this operation.

AF 8 COMPLAINTS

AF 8.1 Is there a complaint procedure available relating to both internal and external issues covered by the GLOBALG.A.P. Standard and does this procedure ensure that complaints are adequately recorded, studied, and followed up, including a record of actions taken?
Major Must



Justification: Procedures for handling complaints and complaint forms are available. No complaints have been noted.

AF 9 RECALL/WITHDRAWAL PROCEDURE

AF 9.1 Does the producer have documented procedures on how to manage/initiate the withdrawal/recall of certified products from the marketplace and are these procedures tested annually?
Major Must



Justification: Yes. The Flat Top Ranch has a procedure in place for the management of product withdrawal (Traceability and Recall) including the type of incident that may result in a product withdrawal, the persons responsible for taking appropriate decisions, the mechanism for notification of customers and GLOBALGAP certification body, and the methods for stock replacement. An annual product mock recall test was performed; the product was traced one step up (and one step back (to the production records for the block). Last mock recall test: 7/24/21 58 bins of Wildfire Gala from block 10. Mock cause was glass in bin.

AF 10 FOOD DEFENSE (N/A FOR FLOWERS AND ORNAMENTALS AND PLANT PROPAGATION MATERIAL)

AF 10.1 Is there a risk assessment for food defense and are procedures in place to address identified food defense risks?
Major Must



Justification: There is a current Food Defense Risk Assessment dated 7/5/21, and signed by Adrian Silva.



AF 11 GLOBALG.A.P. STATUS

AF 11.1 Major Must Does all transaction documentation include reference to the GLOBALG.A.P. status and the GGN? N/A
Justification: there is an agreement in place with Borton Fruit to not misuse the GGN

AF 12 LOGO USE

AF 12.1 Major Must Is the GLOBALG.A.P. word, trademark, GLOBALG.A.P. QR code or logo and the GGN (GLOBALG.A.P. Number) used according to the GLOBALG.A.P. General Regulations and according to the 'Sublicense and Certification Agreement'?
Justification: Logo not used on consumer packaging.

AF 13 TRACEABILITY AND SEGREGATION

AF 13.1 Major Must Is there an effective system in place to identify and segregate all GLOBALG.A.P. certified and non-certified products? N/A
Justification: Parallel production/ownership is not part of the Company's operation.

AF 13.2 Major Must In the case of producers registered for parallel production/ownership (where certified and non-certified products are produced and/or owned by one legal entity), is there a system to ensure that all final products originating from a certified production process are correctly identified? N/A
Justification: Parallel production/ownership is not part of the Company's operation.

AF 13.3 Major Must Is there a final check to ensure the correct product dispatch of certified and non-certified products? N/A
Justification: Parallel production/ownership is not part of the Company's operation.


AF 13.4 Major Must Are appropriate identification procedures in place and records for identifying products purchased from different sources available for all registered products? N/A
Justification: Parallel production/ownership is not part of the Company's operation.

AF 14 MASS BALANCE

AF 14.1 Major Must Are sales records available for all quantities sold and all registered products?
Justification: 2021 Cherry harvest: 56,081bins 2020 apple: 139,391 bins


AF 14.2 Major Must Are quantities (produced, stored, and/or purchased) recorded and summarized for all products?
Justification: yes the records are kept and available.



AF 14.3 Are conversion ratios and/or loss (input-output calculations of a given production process) during handling
Major Must calculated and controlled? 


Justification: cherry average culls: 19% apple: packout is done by variety

AF 15 FOOD SAFETY POLICY DECLARATION (N/A FOR FLOWERS AND ORNAMENTALS)


AF 15.1 Has the producer completed and signed the 'Food Safety Policy Declaration' included in the IFA checklist?
Major Must 

Justification: A current Food Safety Declaration has been signed by Adrian Silva and dated 6/2/21

AF 16 FOOD FRAUD MITIGATION (N/A FOR FLOWERS AND ORNAMENTALS)


AF 16.1 Does the producer have a food fraud vulnerability risk assessment?
Minor Must 

Justification: A Food Fraud Risk Assessment has been signed by Adrian Silva and dated 7/16/21 R-12

AF 16.2 Does the producer have a food fraud mitigation plan and has it been implemented?
Minor Must 

Justification: The Food Fraud Risk Assessment includes buying chemicals from reputable suppliers with original label. The plan is signed by Adrian Silva.


AF 17 NON-CONFORMING PRODUCTS

AF 17.1 Does the producer have a documented procedure for non-conforming products and has it been implemented?
Major Must 

Justification: Borton Fruit provided a letter of Non conforming produce for acceptance of fruit. Implemented by training and poster boards.

CB CROPS BASE

CB 1 TRACEABILITY

CB 1.1 Is a GLOBALG.A.P. registered product traceable back to and trackable from the registered farm (and other
Major Must relevant registered areas) where it has been produced and, if applicable, handled? 

Justification: The traceback system includes pallet tags that identify the site, producer, date picked, crew, crop and shipper. Full traceability one step forward and one step back.

CB 2 PROPAGATION MATERIAL

CB 2.1 Quality and Health



CB 2.1.1 When seeds or propagation material have been purchased in the past 24 months, is there evidence that ✔
Minor Must guarantees they have been obtained in compliance with variety registration laws (in the case mandatory variety registration exists in the respective country)?
 Justification: Brandts nursery invoice 6/20/21 shows Rocket (premA96) paid royalty and fees for 15,609 trees.

CB 2.1.2 Has the propagation material used been obtained in accordance to applicable intellectual property laws? ✔
Minor Must
 Justification: Brandts nursery invoice 6/20/21 shows Rocket (premA96) paid royalty and fees for 15,609 trees.

CB 2.1.3 Are plant health quality control systems operational for in-house nursery propagation? N/A
Minor Must
 Justification: no nursery

CB 2.2 Chemical Treatments and Dressings

CB 2.2.1 Is the purchased propagation material (seed, rootstocks, seedlings, plantlets, cuttings) accompanied by N/A
Minor Must information of chemical treatments done by the supplier?
 Justification: no treatments

CB 2.2.2 Are PPP treatments recorded for in-house nursery propagation materials applied during the plant propagation N/A
Minor Must period?
 Justification: no nursery

CB 2.3 Genetically Modified Organisms (N/A if no Genetically Modified Varieties are Used)

CB 2.3.1 Does the planting of or trials with genetically modified organisms (GMOs) comply with all applicable legislation in N/A
Major Must the country of production?
 Justification: GMO crops or products derived from genetic modifications are not grown by the producer.

CB 2.3.2 Is there documentation available of when the producer grows GMOs? N/A
Minor Must
 Justification: No GMO

CB 2.3.3 Have the producer's direct clients been informed of the GMO status of the product? N/A
Major Must
 Justification: GMO crops or products derived from genetic modifications are not grown by the producer.

CB 2.3.4 Is there a plan for handling genetically modified (GM) material (i.e. crops and trials) identifying strategies to N/A
Minor Must minimize contamination risks (e.g. such as accidental mixing of adjacent non-GM crops) and maintaining product integrity?
 Justification: No GMO

CB 2.3.5 Are GM crops stored separately from other crops to avoid adventitious mixing? N/A
Major Must
 Justification: GMO crops or products derived from genetic modifications are not grown by the producer.



CB 3 SOIL MANAGEMENT AND CONSERVATION

CB 3.1 Does the producer have a soil management plan? ✔
 Minor Must

Justification: A soil management plan will be in place to maintain soil fertility based on nutrient needs of the crop. Soil test by Kuo labs, 8/7/20

CB 3.2 Have soil maps been prepared for the farm? ✔
 Recommendation

Justification: Soil maps are available through the Soil Conservation website.

CB 3.3 Is there, where feasible, crop rotation for annual crops? N/A
 Minor Must

Justification: No crop rotation in permanent crops.

CB 3.4 Have techniques been used to improve or maintain soil structure and avoid soil compaction? ✔
 Minor Must

Justification: Soil compaction avoidance techniques include minimizing in-row plowing and tractor operations

CB 3.5 Does the producer use techniques to reduce the possibility of soil erosion? ✔
 Minor Must

Justification: Techniques used by the Company to reduce soil erosion include managing irrigations to prevent runoff.

CB 3.6 Has the producer taken into account the nutrient contribution of organic fertilizer applications? N/A
 Minor Must

Justification: no organic used

CB 3.7 Does the producer keep records on seed/planting rate, sowing/planting date? ✔
 Minor Must

Justification: rocket apples were planted 4/28/21 in block 6A 11'x3'

CB 4 FERTILIZER APPLICATION

CB 4.1 Advice on Quantity and Type of Fertilizer

CB 4.1.1 Are recommendations for the application of fertilizers (organic or inorganic) provided by competent and qualified persons? ✔
 Minor Must

Justification: Frank Frame #35716 with GS Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

CB 4.2 Records of Application



CB 4.2.1	Field, orchard or greenhouse reference and crop?	✓
Minor Must		
Justification: 6A rockets		

CB 4.2.2	Application dates?	✓
Minor Must		

CB 4.2.3	Applied fertilizer types?	✓
Minor Must		
Justification: 11-52-0		

CB 4.2.4	Applied quantities?	✓
Minor Must		
Justification: 145#/acre		

CB 4.2.5	Method of application?	✓
Minor Must		
Justification: machine applied		

CB 4.2.6	Operator details?	✓
Minor Must		
Justification: Oscar		

CB 4.3 Fertilizer Storage

CB 4.3.1	Separately from PPPs?	N/A
Minor Must		
Justification: No fertilizer storage.		

CB 4.3.2	In a covered area?	N/A
Minor Must		
Justification: No fertilizer storage.		

CB 4.3.3	In a clean area?	N/A
Minor Must		
Justification: No fertilizer storage.		

CB 4.3.4	In a dry area?	N/A
Minor Must		
Justification: No fertilizer storage.		

CB 4.3.5	In an appropriate manner that reduces the risk of contamination of water sources?	N/A
Minor Must		
Justification: No fertilizer storage.		



CB 4.3.6 Not together with harvested products? Major Must	N/A
Justification: No fertilizer storage.	

CB 4.3.7 Is there an up-to-date fertilizer stock inventory or stock calculation listing incoming fertilizer and records of use Minor Must available?	N/A
Justification: No fertilizer storage.	

CB 4.4 Organic Fertilizer

CB 4.4.1 Does the producer prevent the use of human sewage sludge on the farm? Major Must	
Justification: Human sewage sludge is not used.	

CB 4.4.2 Has a risk assessment been carried out for organic fertilizer, which, prior to application, considers its source, Minor Must characteristics and intended use?	N/A
Justification: not used	

CB 4.4.3 Is organic fertilizer stored in an appropriate manner that reduces the risk of contamination of the environment? Minor Must	N/A
Justification: No organic fertilizers stored.	

CB 4.5 Nutrient Content of Inorganic Fertilizers

CB 4.5.1 Is the content of major nutrients (NPK) of applied fertilizers known? Minor Must	
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CB 4.5.2 Are purchased inorganic fertilizers accompanied by documented evidence of chemical content, which <i>Recommendation</i> includes heavy metals?	
Justification: website with blend ticket provided.	

CB 5 WATER MANAGEMENT

CB 5.1 Predicting Irrigation Requirements

CB 5.1.1 Are tools used routinely to calculate and optimize the crop irrigation requirements? Minor Must	
Justification: Yes. Monitoring of soil moisture and weather information is performed by grower and irrigation schedules are used.	

CB 5.2 Efficient Water Use on Farm



CB 5.2.1 Major Must Has a risk assessment been undertaken that evaluates environmental issues for water management on the farm and has it been reviewed by the management within the previous 12 months?

Justification: Environmental risk assessment dated 8/19/21 and signed by Adrian Silva.

CB 5.2.2 Major Must Is there a water management plan available that identifies water sources and measures to ensure the efficiency of application and which management has approved within the previous 12 months?

Justification: Water is from the Snake River, SCBID. Drip, overhead, and micro. Ponds are used. Water management plan and RA R-01 7/8/21 by Adrian S.

CB 5.2.3 Minor Must Are records for crop irrigation/fertigation water usage and for the previous individual crop cycle/s with total application volumes maintained?

Justification: sample irrigation record: from august. Dogwood ranch. Hours total.

CB 5.3 Water Quality

CB 5.3.1 Major Must Is the use of treated sewage water in pre-harvest activities justified according to a risk assessment?

Justification: Treated sewage water is not used on the farm.

CB 5.3.2 Minor Must Has a risk assessment on physical and chemical pollution of water used on pre-harvest activities (e.g. irrigation/fertigation, washings, spraying) been completed and has it been reviewed by the management within the last 12 months?

Justification: A risk assessment has been carried out for physical and chemical pollution of pre-harvest water activities dated 8/19/21 and signed by Adrian Silva.

CB 5.3.3 Minor Must Is water used on pre-harvest activities analyzed at a frequency in line with the risk assessment (CB 5.3.2) taking into account current sector specific standards?

Justification: 6/9/21 well: coliform non detected/100ml, E.coli not detected/100ml. Irrigation E.coli<1mpn/100ml, pond E.coli 2mpn/100ml.

CB 5.3.4 Minor Must According to the risk assessment in CB 5.3.2 and current sector specific standards, does the laboratory analysis consider chemical and physical contamination, and is the laboratory accredited against ISO 17025 or by competent national/local authorities for testing water?

Justification: Eurofins Cascade Analytical, Inc. 3019 G.S. Center Road, Wenatchee, WA 98801 1008 W Ahtanum Road, Ste. 2, Union Gap, WA 98903 ISO/IEC 17025:2017 Initial Accreditation Date: Issue Date: Expiration Date: May 14, 2013 July 19, 2019 July 31, 2021 Accreditation No: Certificate No: 74266 L19-354

CB 5.3.5 Minor Must Are corrective actions taken based on adverse results from the risk assessment before the next harvest cycle?

Justification: No corrective actions required.

CB 5.4 Supply of Irrigation/Fertigation Water

CB 5.4.1 Minor Must Where legally required, are there valid permits/licenses available for all farm water extraction, water storage infrastructure, on-farm usage and, where appropriate, any subsequent water discharge?

Justification: Water is from the SCBID and snake river. The legal amount available to the grower is provided in acre-feet and is monitored by the irrigation district (See CB 5.2.3).



CB 5.4.2 Where the water permits/licenses indicate specific restrictions, do the water usage and discharge records **Major Must** confirm that the management has complied with these?

Justification: water orders limit the use.

CB 5.5 Water Storage Facilities

CB 5.5.1 Are water storage facilities present and well maintained to take advantage of periods of maximum water **Recommendation** availability?

Justification: ponds are used

CB 6 INTEGRATED PEST MANAGEMENT

CB 6.1 Has assistance with the implementation of IPM systems been obtained through training or advice? **Minor Must**

Justification: Frank Frame #35716 with GS Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

CB 6.2 Prevention? **Major Must**

Justification: Evidence provided of the following two activities : Example #1 removing sucker plants that harbor pests Example #2 mowing in between rows being carried out in the category of "Prevention".

CB 6.3 Observation and Monitoring? **Major Must**

Justification: Evidence provided of two "Observation and Monitoring" activities for , including third party monitoring and management monitoring.

CB 6.4 Intervention? **Major Must**

Justification: Intervention techniques, usually through the application of the appropriate pesticide, are used when monitoring indicates an economic threshold has been reached.

CB 6.5 Have anti-resistance recommendations, either on the label or other sources, been followed to maintain the **Minor Must** effectiveness of available PPPs?

Justification: Rotation of PPPs is practiced.

CB 7 PLANT PROTECTION PRODUCTS

CB 7.1 Choice of Plant Protection Products

CB 7.1.1 Is a current list kept of PPPs that are authorized in the country of production for use on crops being grown? **Minor Must**

Justification: Reviewed list of plant protection products used. PPPs used are authorized in the US and retail markets. Lorsban.



CB 7.1.2 Does the producer only use PPPs that are currently authorized in the country of use for the target crop (i.e. **Major Must** where such an official registration scheme exists)?

Justification: All plant protection products applied to the crop are recorded in the Plant protection Product Register of WSDA. Example: Lorsban.

CB 7.1.3 Is the PPP that has been applied appropriate for the target as recommended on the product label? **Major Must**

Justification: Application records reviewed. PPPs applied to crop are suitable. insects.

CB 7.1.4 Are invoices of PPPs kept? **Minor Must**

Justification: G.S.Long invoice shows 8/2/21 Magister miticide 5 gallon total

CB 7.2 Advice on Quantity and Type of Plant Protection Products

CB 7.2.1 Are the persons selecting the PPPs competent to make that choice? **Major Must**

Justification: Frank Frame #35716 with GS Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

CB 7.3 Records of Application

CB 7.3.1 Are records of all PPP applications kept and do they include the following minimum criteria: Crop name and/or **Major Must** variety • Application location • Date and end time of application • Product trade name and active ingredient • Pre-harvest interval

Justification: Plant protection product application records exist for crops intended for certification. Ex: Crop: Cherries , Location: 4A-4B Early Robin Application Date: 5/31/21 Time : 5:40am Product: Entrust (spinosad 0.02, 62719-621) Pre-harvest interval: 3days. Crop: Apple , Location: block 8A Application Date: 8/9/21 Time : 6:30am Product: Carbaryl 4L (34704-447, carbamate) Pre-harvest interval: 3days.

CB 7.3.2 Operator? **Minor Must**

Justification: cherry: Rafael apple: Miguel m.

CB 7.3.3 Justification for application? **Minor Must**

Justification: All plant protection product application records include the justification for application. Ex: fruit fly, earwig.

CB 7.3.4 Technical authorization for application? **Minor Must**

Justification: Frank Frame #35716 with GS Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

CB 7.3.5 Product quantity applied? **Minor Must**

Justification: cherry: 96 oz total, 6.4oz per acre. Apple: 31 gallon total



CB 7.3.6 Application machinery used? ✓
 Minor Must
 Justification: tractor 4 equip 9

CB 7.3.7 Weather conditions at time of application? ✓
 Minor Must
 Justification: Weather conditions at the time of application are included: cherry: 65F 3wind. Apple: 0 wind, 78F

CB 7.3.8 Does the producer take active measures to prevent pesticide drift to neighboring plots? ✓
 Minor Must
 Justification: Application records reviewed show that farm takes active measures to prevent pesticide drift to neighboring plots (only spraying when wind is not blowing).

CB 7.3.9 Does the producer take active measures to prevent pesticide drift from neighboring plots? ✗
 Recommendation
 Justification: No evidence provided that measures have been taken to prevent drift from neighboring plots.

CB 7.4 Pre-Harvest Interval (N/A for Flowers and Ornamentals)

CB 7.4.1 Have the registered pre-harvest intervals been complied with? ✓
 Major Must
 Justification: cherry sprayed 5/31/21 with 3PHI, first harvest 6/ 7/21 apple sprayed on 8/9/21 with 3 phi, first harvest: 9/1/21

CB 7.5 Disposal of Surplus Application Mix


CB 7.5.1 Is surplus application mix or tank washings disposed of in a way that does not compromise food safety and the environment? ✓
 Minor Must
 Justification: Surplus application mix and tanks washings are managed in a manner that does not compromise food safety or the environment. Procedures exist.

CB 7.6 Plant Protection Product Residue Analysis (N/A for Flowers and Ornamentals or Plant Propagation Material Production)


CB 7.6.1 Can the producer demonstrate that information regarding the Maximum Residue Levels (MRLs) of the country(ies) of destination (i.e. market(s) in which the producer intends to trade) is available? ✓
 Major Must
 Justification: A list of current applicable MRLs and countries is available online at NW Hort Council.

CB 7.6.2 Has action been taken to meet the MRLs of the market in which the producer is intending to trade the produce? ✓
 Major Must
 Justification: Evidence provided, including following label directions, using authorized chemicals and residue testing, to demonstrate that MRL's in place for intended markets have been taken into account.



CB 7.6.3 Has the producer completed a risk assessment covering all registered crops to determine if the products will be compliant with the MRLs in the country of destination? 
Major Must


Justification: A risk assessment signed by Adrian Silva and dated 8/19/21 has been carried out and includes plant protection products used. Risk of MRL exceedance exists.

CB 7.6.4 Is there evidence of residue tests, based on the results of the risk assessment? 
Major Must


Justification: 2021 cherry: 6/8/21 no exceedance for target markets. 2021 apple: 8/19/21 shows no exceedance for any markets.

CB 7.6.5 Correct sampling procedures are followed? 
Minor Must

Justification: yes sampling followed


CB 7.6.6 The laboratory used for residue testing is accredited by a competent national authority to ISO 17025 or equivalent standard? 
Minor Must

Justification: PAL is an Accredited Laboratory, ISO/IEC 17025:2005, Perry Johnson Laboratory Accreditation, Inc., Accreditation #64422

CB 7.6.7 An action plan is in place in the event of an MRL is exceeded? 
Major Must

Justification: Chelan Fresh (Borton Fruit) controls the destination of the fruit based on MRL results.


CB 7.7 Plant Protection Product Storage

CB 7.7.1 Are PPPs stored in accordance with local regulations in a secure place with sufficient facilities for measuring and mixing them, and are they kept in their original package? 
Major Must

Justification: WSDA regulations are followed. PPPs are kept in original containers and there are facilities present for measuring and mixing them.

CB 7.7.2 Sound? 
Minor Must

Justification: PPP storage is sound and appropriate storage capacity. Wood barn

CB 7.7.3 Appropriate to the temperature conditions? 
Minor Must

Justification: the PPP is vented and PPP is stored in an environment so PPP does not exceed any SDS requirements

CB 7.7.4 Well ventilated (in the case of walk-in storage)? 
Minor Must

Justification: The storage shed is well ventilated

CB 7.7.5 Well lit? 
Minor Must

Justification: yes, Supplemental lighting available if needed.



CB 7.7.6 Located away from other materials? ✓
Minor Must

Justification: PPP is separate from other products or Food sources

CB 7.7.7 Is all PPP storage shelving made of non-absorbent material? ✓
Minor Must

Justification: PPP shelving is made of painted wood.

CB 7.7.8 Is the PPP storage facility able to retain spillage? ✓
Minor Must

Justification: Storage is able to retain spillage.

CB 7.7.9 Are there facilities to deal with spillage? ✓
Minor Must

Justification: Yes, spill clean up kit: brush and dustpan and plastic bags and cat litter with instructions.

CB 7.7.10 Are keys and access to the PPP storage facility limited to workers with formal training in the handling of PPPs? ✓
Minor Must

Justification: Evidence provided demonstrating that plant protection product store is kept under lock and key. Only Kohlter, Hilario, Juventino

CB 7.7.11 Are PPPs approved for use on the crops registered for GLOBALG.A.P. Certification stored separately within the storage facility from PPPs used for other purposes? ✓
Minor Must

Justification: Evidence provided of all the plant protection products currently kept in the plant protection product store being officially approved and registered in the Plant Protection Product Register of the WSDA.

CB 7.7.12 Are liquids not stored on shelves above powders? ✓
Minor Must

Justification: Liquids were seen stored below powders.

CB 7.7.13 Is there an up-to-date PPP stock inventory or calculation of stock with incoming PPPs and records of use available? ✓
Minor Must

Justification: Updated PPP inventory list: 8/31/21 Altacor 2 jugs 80oz

CB 7.7.14 Is the accident procedure visible and accessible within 10 meters of the PPP/chemical storage facilities? ✓
Minor Must

Justification: Yes, accident procedures are visible at the storage and mixing area.

CB 7.7.15 Are there facilities to deal with accidental operator contamination? ✓
Minor Must

Justification: Facilities (eye wash, fresh water, first aid kit) are in place and within 10 meters of PPP storage and mixing areas.

CB 7.8 Plant Protection Product Handling (N/A if no Plant Protection Product Handling)



CB 7.8.1 Minor Must Does the producer offer all workers who have contact with PPPs the possibility to be submitted to annual health checks or with a frequency according to a risk assessment that considers their exposure and toxicity of products used? N/A

Justification: nothing sprayed that can be tested for.

CB 7.8.2 Major Must Are there procedures dealing with re-entry times on the farm? ✔

Justification: There are clear and documented procedures restricting and posted at the shop wit spray date chemical and REI time

CB 7.8.3 Minor Must If concentrate PPPs are transported on and between farms, are they transported in a safe and secure manner? ✔

Justification: Farm is centralized

CB 7.8.4 Minor Must When mixing PPPs, are the correct handling and filling procedures followed as stated on the label? ✔

Justification: Facilities have necessary filling and measuring equipment to accurately mix plant protection products and are suitable for that purpose.

CB 7.9 Empty Plant Protection Product Containers

CB 7.9.1 Major Must Are empty containers rinsed either via the use of an integrated pressure-rinsing device on the application equipment or at least 3 times with water before storage and disposal, and is the rinsate from empty containers returned to the application equipment tank or disposed of in accordance with CB 7.5.1? ✔

Justification: Empty containers are rinsed at least three times with water. The rinsate is returned to the application tank and applied to the crop in accordance with CB 7.1.5.

CB 7.9.2 Minor Must Is re-use of empty PPP containers for purposes other than containing and transporting the identical product being avoided? ✔

Justification: There was no evidence that PPP containers were being reused.

CB 7.9.3 Minor Must Are empty containers kept secure until disposal is possible? ✔

Justification: There is a designated secure store point for all empty plant protection products. It is permanently signed and with physically restricted access for persons and fauna.

CB 7.9.4 Minor Must Does disposal of empty PPP containers occur in a manner that avoids exposure to humans and contamination of the environment? ✔

Justification: Disposal storage is secure and disposal procedures include triple rinsing, removing labels and discarding caps. Exposure to people and the environment is compliant.

CB 7.9.5 Minor Must Are official collection and disposal systems used when available, and in that case are the empty containers adequately stored, labeled, and handled according to the rules of a collection system? ✔

Justification: Empty PPP containers are stored, handled and disposed according to instructions provided by AGriplas. Sample receipt 8/26/21 for 2000 jugs



CB 7.9.6 Are all local regulations regarding disposal or destruction of containers observed? ✔

Major Must

Justification: Evidence provided that all relevant and current legislation and regulations regarding the disposal of empty plant protection product containers is met.

CB 7.10 Obsolete Plant Protection Products

CB 7.10.1 Are obsolete PPPs securely maintained and identified and disposed of by authorized or approved channels? N/A

Minor Must

Justification: There are no obsolete products stored on site.

CB 7.11 Application of Substances other than Fertilizer and Plant Protection Products

CB 7.11.1 Are records available for all other substances, including those that are made on-farm, used on crops and/or soil that are not covered under the sections on fertilizer and PPPs? N/A

Minor Must

Justification: No other substances used.

CB 8 EQUIPMENT

CB 8.1 Is equipment sensitive to food safety (e.g. PPP sprayers, irrigation/fertigation equipment, post-harvest product application equipment) maintained in a good state of repair, routinely verified and, where applicable, calibrated at least annually, and are records of measures taken within the previous 12 months available? ✔

Minor Must

Justification: Maintenance logs of equipment used to apply plant protection products are available. The calibration of application machinery has been verified in the last 12 months. Sprayer calibration 1-6, 7-9. 3/20/21, 3/5/21

CB 8.2 Is equipment sensitive to the environment and other equipment used on the farming activities (e.g. fertilizer spreaders, equipment used for weighing and temperature control) routinely verified and, where applicable, calibrated at least annually? ✔

Minor Must

Justification: Logs of calibration for scales, sprayers and measuring devices are available. Scale: 3/15/21 fertilizer spreader 3/15/21

CB 8.3 Is the producer involved in an independent calibration-certification scheme, where available? N/A

Recommendation

Justification: No third-party calibration.

CB 8.4 Is the PPP equipment stored in such a way as to prevent product contamination? ✔

Minor Must

Justification: Application equipment is stored at shop and away from product to avoid contamination.

FV FRUIT AND VEGETABLES

FV 1 SITE MANAGEMENT



FV 1.1 Risk Assessment

FV 1.1.1 Major Must Does the risk assessment for the farm site carried out as identified in AF 1.2.1 make particular reference to microbial contamination?

Justification: The risk assessment for the site, as identified in AF 1.2.1, identifies microbial contamination as a hazard.

FV 1.1.2 Major Must Has a management plan that establishes and implements strategies to minimize the risks identified in FV 1.1.1 been developed and implemented?

Justification: A management plan has been established and implemented to minimize the risks identified in FV 1.1.1 such as monitoring fields for animal intrusion.

FV 2 SOIL MANAGEMENT (N/A IF NO SOIL FUMIGATION IS PRACTICED)

FV 2.1 Soil Fumigation (N/A if no Soil Fumigation)

FV 2.1.1 Minor Must Is there a written justification for the use of soil fumigants?

Justification: dogwood , 3/9/21, Sectagon-K54 61842-7, 55GPA, Chris ford #59199, 15''' shank

FV 2.1.2 Minor Must Is any pre-planting interval complied with prior to planting?

Justification: replant interval is 30 days. First planted on 4/5-4/7/21 with honey crisp in B4

FV 3 SUBSTRATES (N/A IF SUBSTRATES ARE NOT USED)

FV 3.1 Recommendation Does the producer participate in substrate recycling programs for substrates where available?

Justification: No substrates used.

FV 3.2 Major Must If chemicals are used to sterilize substrates for reuse, have the location, the date of sterilization, type of chemical, method of sterilization, name of the operator and pre-planting interval been recorded?

Justification: No substrates used.

FV 3.3 Minor Must If a substrate of natural origin is used, can it be demonstrated that it does not come from designated conservation areas?

Justification: No substrates used.

FV 4 PRE-HARVEST (REFER TO 'ANNEX FV 1 GLOBALG.A.P. GUIDELINE: MICROBIOLOGICAL HAZARDS DURING GROWING AND HANDLING')

FV 4.1 Quality of Water Used on Pre-Harvest Activities (This Applies to Water Used on all Farm Activities and on the Product Itself Before it is Harvested)



FV 4.1.1 Major Must Is there evidence of a risk assessment covering the microbiological quality of the water used in all pre-harvest operations? ✔

Justification: A risk assessment dated 8/19/21 and signed by Adrian Silva addresses the microbiological quality of water used in all pre-harvest activities.

FV 4.1.2a Major Must In case of leafy greens (also called potherbs, greens, vegetable greens, leafy greens, or salad greens); is water used on pre-harvest activities analyzed as part of the risk assessment and at a frequency in line with that risk assessment (FV 4.1.1.) and no less than indicated in Annex FV1? N/A

Justification: Not leafy greens

FV 4.1.2b Minor Must For all crops not mentioned under FV 4.1.2a; is water used on pre-harvest activities analyzed as part of the risk assessment, at a frequency in line with that risk assessment (FV 4.1.1), and no less than indicated in Annex FV 1? ✔

Justification: 6/9/21 well: coliform non detected/100ml, E.coli not detected/100ml. Irrigation E.coli<1mpn/100ml, pond E.coli 2mpn/100ml.

FV 4.1.3 Major Must In the case the risk assessment or the water tests require it, has the producer implemented adequate actions to prevent product contamination? ✔

Justification: No adverse actions required

FV 4.1.4 Minor Must According to the risk assessment, FV 4.1.1, and current sector specific standards, does the laboratory analysis consider microbiological contamination, and is the laboratory accredited against ISO 17025 or by competent national/local authorities for testing water? ✔

Justification: Eurofins Cascade Analytical, Inc. 3019 G.S. Center Road, Wenatchee, WA 98801 1008 W Ahtanum Road, Ste. 2, Union Gap, WA 98903 ISO/IEC 17025:2017 Initial Accreditation Date: Issue Date: Expiration Date: May 14, 2013 July 19, 2019 July 31, 2021 Accreditation

FV 4.2 Application of Organic Fertilizer of Animal Origin

FV 4.2.1 Major Must Does the interval between the application of organic fertilizer and the product harvest not compromise food safety? N/A

Justification: no organic fertilizer used

FV 4.3 Pre-Harvest Check

FV 4.3.1 Minor Must Is there lack of evidence of excessive animal activity in the crop production area that is a potential food safety risk? ✔

Justification: No evidence of excessive animal activity.

FV 5 HARVEST AND POST-HARVEST (PRODUCT HANDLING) ACTIVITIES Control points covered in FV 5.1.1 to FV 5.8.10 may be applicable during harvest and/or handling at the point of harvest (on field) and/or handling in packinghouse (facility) and/or during storage/cooling. All these points shall be evaluated in all cases when and where applicable.

FV 5.1 Principles of Hygiene (Refer to 'Annex FV 1 GLOBALG.A.P. Guideline: Microbiological Hazards During Growing and Harvest')



FV 5.1.1 Major Must Has a hygiene risk assessment been performed for the harvest, pre- and post-farm gate transport process, and post-harvest activities including product handling? ✓

Justification: A hygiene risk analysis for the harvest and pre-farm gate transport process has been performed, reviewed by Adrian Silva, including all potential sources of contamination.

FV 5.1.2 Major Must Are there documented hygiene procedures and instructions for the harvest and post-harvest processes including product handling (also when they take place directly on the field, orchard, or greenhouse) designed to prevent contamination of crop, crop production areas, food contact surfaces, and harvested product? ✓

Justification: There is a documented hygiene procedure for the harvesting process (hygiene rules, hygiene training, harvesting techniques, hygiene of harvesting tools,....) Procedures include evaluating whether workers are fit to return to work after an illness.

FV 5.1.3 Major Must Are the hygiene procedures and instructions for the harvest and post-harvest activities, including product handling, implemented? ✓

Justification: Adrian Silva is responsible for implementation of the hygiene procedures for , cherries among workers and contractors. The risk assessment does not require harvest workers to wear specific clothing.

FV 5.1.4 Major Must Have workers received specific training in hygiene before harvesting and handling produce? ✓

Justification: cherry: Date of Training: reviewed 73 training records 6/10/21 Apple pickers interviewed, training form shows 8/24/21 24 signatures, Jose Luis F.

FV 5.1.5 Major Must Are signs that communicate the primary hygiene instructions to workers and visitors, including at least instructions to workers, to wash their hands before returning to work clearly displayed? ✓

Justification: Signs are posted in Spanish and English in several locations reminding visitors and workers about the hygiene and safety requirements of Orchard.

FV 5.1.6 Major Must Are smoking, eating, chewing, and drinking confined to designated areas segregated from growing areas and products? ✓

Justification: Signs indicate smoking, eating, chewing and drinking restricted to designated areas.

FV 5.2 Sanitary Facilities

FV 5.2.1 Major Must Do harvest workers who come into direct contact with the crops have access to appropriate handwashing equipment and make use of it? ✓

Justification: Cherry: 6 toilets seen with soap, towels, handwash, signs, trash serviced by Mighty Johns. Inspection log 5/19- 6/17 seen Apple: F-06 toilet inspection form.. 8/30/21 shows the crews assigned toilet has tp, water, soap.

FV 5.2.2 Minor Must Do harvest workers have access to clean toilets in the vicinity of their work? ✓

Justification: Cherry: 6 toilets seen with soap, towels, handwash, signs, trash serviced by Mighty Johns. Inspection log 5/19- 6/17 seen Apple: F-06 toilet inspection form.. 8/30/21 shows the crews assigned toilet has tp, water, soap.

FV 5.2.3 Major Must Do workers handling the product on the field or in a facility have access to clean toilets and handwashing facilities in the vicinity of their work? ✓

Justification: Cherry: 6 toilets seen with soap, towels, handwash, signs, trash serviced by Mighty Johns. Inspection log 5/19- 6/17 seen Apple: F-06 toilet inspection form.. 8/30/21 shows the crews assigned toilet has tp, water, soap.



FV 5.2.4 Major Must Are the harvest containers used exclusively for produce and are these containers, the tools used for harvesting and the harvest equipment appropriate for their intended use and cleaned, maintained, and able to protect the product from contamination? ✔

Justification: All harvest containers belong to Borton Fruit and are only used to hold harvested fruit.

FV 5.2.5 Recommendation Are there suitable changing facilities for the workers? N/A

Justification: Not required.

FV 5.2.6 Major Must Are vehicles used for transport of harvested produce and/or packed product and any equipment used for loading, cleaned, and maintained where necessary according to risk? ✔

Justification: Pre-farm gate transport equipment is inspected and cleaned before loading.

FV 5.3 Water Quality

FV 5.3.1 Major Must If ice (or water) is used during any operations relating to harvest or cooling, does it meet the microbial standards for drinking water, and is it handled under sanitary conditions to prevent produce contamination? ✔

Justification: new foam pads with potable water. 6/9/21 well: coliform non detected/100ml, E.coli not detected/100ml.

FV 5.4 Packing and Storage Areas (N/A When There is no Product Packing and/or Storing)

FV 5.4.1 Major Must Is harvested produce protected from contamination? N/A

Justification: No packing or storage

FV 5.4.2 Major Must Are all collection/storage/distribution points of packed produce, also those in the field, maintained in clean and hygienic conditions? N/A

Justification: No packing or storage

FV 5.4.3 Major Must Are packing materials appropriate for use, and are they used and stored in clean and hygienic conditions so as to prevent them from becoming a source of contamination? N/A

Justification: No packing or storage

FV 5.4.4 Minor Must Are bits of packaging material and other non-produce waste removed from the field? N/A

Justification: There is no packing or storage on site.

FV 5.4.5 Minor Must Are cleaning agents, lubricants, etc. stored to prevent chemical contamination of produce? N/A

Justification: There is no packing or storage on site.

FV 5.4.6 Minor Must Are cleaning agents, lubricants, etc. that may come into contact with produce approved for application in the food industry? Are label instructions followed correctly? N/A

Justification: There is no packing or storage on site.



FV 5.4.7 Are all forklifts and other driven transport trolleys clean and well maintained and of a suitable type to avoid contamination through emissions? N/A
Recommendation

Justification: There is no packing or storage on site.

FV 5.4.8 Is rejected and contaminated produce not introduced in the supply chain and is waste material effectively controlled in a way that it does not pose a risk of contamination? N/A
Major Must

Justification: No packing or storage

FV 5.4.9 Are breakage safe lamps and/or lamps with a protective cap used above the sorting, weighing, and storage area? N/A
Major Must

Justification: No packing or storage

FV 5.4.10 Are there written procedures for handling glass and clear hard plastic in place? N/A
Minor Must

Justification: There is no packing or storage on site.

FV 5.5 Temperature and Humidity Control

FV 5.5.1 Are temperature and humidity controls (where applicable) maintained and documented? N/A
Minor Must

Justification: There is no packing or storage on site.

FV 5.6 Pest Control

FV 5.6.1 Is there a system for monitoring and correcting pest populations in the packing and storing areas? ✓
Major Must

Justification: No packing or storage

FV 5.6.2 Is there visual evidence that the pest monitoring and correcting process are effective? ✓
Major Must

Justification: No packing or storage

FV 5.6.3 Are detailed records kept of pest control inspections and necessary actions taken? N/A
Minor Must

Justification: There is no packing or storage on site.

FV 5.7 Post-Harvest Washing (N/A When no Post-Harvest Washing)

FV 5.7.1 Is the source of water used for final product washing potable or declared suitable by the competent authorities? N/A
Major Must

Justification: No packing or storage



FV 5.7.2 Major Must If water is re-circulated for final product washing, has this water been filtered and are pH, concentration and exposure levels to disinfectant routinely monitored? N/A

Justification: No packing or storage

FV 5.7.3 Minor Must Is the laboratory carrying out the water analysis a suitable one? N/A

Justification: There is no packing or storage on site.

FV 5.8 Post-Harvest Treatments (N/A When no Post-Harvest Treatments)

FV 5.8.1 Major Must Are all label instructions observed? N/A

Justification: No packing or storage

FV 5.8.2 Major Must Are all the biocides, waxes, and plant protection products used for post-harvest protection of the harvested crop officially registered in the country of use? N/A

Justification: No packing or storage

FV 5.8.3 Minor Must Is an up-to-date list maintained of post-harvest plant protection products that are used, and approved for use, on crops being grown? ✔

Justification: There is no packing or storage on site.

FV 5.8.4 Major Must Is the technically responsible person for the application of post-harvest plant protection products able to demonstrate competence and knowledge with regard to the application of biocides, waxes, and plant protection products? N/A

Justification: No packing or storage

FV 5.8.5 Major Must Is the source of water used for post-harvest treatments potable or declared suitable by the competent authorities? N/A

Justification: No packing or storage

FV 5.8.6 Major Must Are the biocides, waxes and plant protection products used for post-harvest treatment stored away from produce and other materials? N/A

Justification: No packing or storage

FV 5.8.7 Major Must Are all records of post-harvest treatments maintained and do they include the minimum criteria listed below? • Identity of harvested crops (i.e. lot or batch of produce) • Location • Application dates • Type of treatment • Product trade name and active ingredient • Product quantity ✔

Justification: No packing or storage

FV 5.8.8 Minor Must Name of the operator? N/A

Justification: There is no packing or storage on site.

FV 5.8.9 Minor Must Justification for application? N/A

Justification: There is no packing or storage on site.



FV 5.8.10 Are all of the post-harvest plant protection product applications also considered under points CB 7.6?
Major Must N/A
Justification: No packing or storage

FV 5.9 Labeling

FV 5.9.1 Is product labeling, where final packing takes place, done according to the applicable food regulations in the
Major Must country of intended sale and according to any customer specifications? N/A
Justification: No packing or storage

FV 5.9.2 Where the risk assessment indicates potential food allergen cross-contamination, are the products labeled to
Major Must identify them? N/A
Justification: No packing or storage

Documents attached

File name	Control Point	Description
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