



## Company assessed

Producer **Borton & Sons, Inc** GGN 4050373566296 Certification Option 1  
 Address S Borton Rd 2550 Yakima WA United States. (Lat: 46.560751400000000, Lon: -120.693020200000000)  
 Contact Person Adrian Silva  
 Company Profile



## Assessment details

The audit report is final if the audit status is "Closed." Any other status reflects a preliminary report. The audit process is based on a sampling process and reflects the information evaluated during the audit. The auditor declares that an independent and impartial audit has been carried out without conflicts of interest. The audit report may not be used as proof of certification and shall not be given to any party other than in full. The distribution of the report is regulated by the GLOBALG.A.P. data access rules.

For validation of the report, contact: [GlobalGAP@eaglecertificationgroup.com](mailto:GlobalGAP@eaglecertificationgroup.com)  
 Standards assessed: INTEGRATED FARM ASSURANCE: AF+CB+FV. v5.3-GFS  
 Assessment conducted remotely?: No  
 Notes on the assessment:  
 Audit language: English  
 Date start: 16 August 2021 Date end: 16 August 2021

### Audit Personnel

Certification Body: Eagle Certification Group

Name	Standard	Role	Initials
Rachel Miller	IFA	Inspector	RM

### Key persons present during the Assessment

Name	Company	Position
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### Audit duration per day

Date	Assessor initials	Sites/products/Processes assessed	Start Time	End Time
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## IFA Assessment details

Standards assessed: INTEGRATED FARM ASSURANCE: AF+CB+FV. v5.3-GFS  
 Assessment type: Renewal  
 Audit has been conducted in two stages?: No  
 Does the producer participate in the unannounced reward program?: No  
 Food Safety Policy Declaration confirmed?: No

### Certification Committee Decision

Certification Committee Decision: Positive  
 Decision date: 14 September 2021  
 Certification committee decision taken by: Todd McNeill  
 Comments: Approved for GG01 certification.

### Certification Cycle

Date start: 14 September 2021 Date end: 13 September 2022



## Products

**Scope** -Product included in the certification scope.

Apples,Cherries,Pears

**Assessed** -Products inspected in this assessments.

Apples,Cherries,Pears

**Harvest** -Products seen during harvest in this assessment.

**PHU** -Products seen during packing-handling in this assessment.

Apples

**New products** -Products that are included in the certification scope for the first time.

## PP/PO

**PP** -Parallel production (production of certified and non-certified products). Indicate product that have been registered for PP. **No**

**PO** -Parallel ownership (trade/packing/handling of certified and non-certified products). Indicate product that have been registered for PO. **No**

**Trade** -Does the producer buy certified product from external sources. Indicate products. **No**

## Sites assessed

Site ID

Site Name

## Summary

### INTEGRATED FARM ASSURANCE

Type	% Compliance	Yes	No	NA	Not answered
Major Must	100.0%	68	0	25	0
Minor Must	100.0%	93	0	21	0
Recommendation	70.0%	7	3	5	0

## Non-compliance/Corrective actions

### INTEGRATED FARM ASSURANCE

AF 7.1.2 Recommendation <b>Open</b> Due date:	<b>CreateBy:</b> Andrew Rausch
NC: No tangible actions in place to enhance the environment. CA:	

AF 7.3.2 Recommendation <b>Open</b> Due date:	<b>CreateBy:</b> Andrew Rausch
NC: A plan to improve energy efficiency has not been finalized. CA:	

CB 7.3.9 Recommendation <b>Open</b> Due date:	<b>CreateBy:</b> Andrew Rausch
NC: No evidence provided that measures have been taken to prevent drift from neighboring plots. CA:	




## Checklist details


### AF ALL FARM BASE

#### AF 1 SITE HISTORY AND SITE MANAGEMENT

##### AF 1.1 Site History


**AF 1.1.1** Is there a reference system for each field, orchard, greenhouse, yard, plot, livestock building/pen, and/or other **Major Must** area/location used in production? 

Justification: There are farm maps that include the location of each unit of production as well as identifying water sources and facilities. Application and activity records reference block ID for recordkeeping and traceback purposes. Cherry: 134 acres (harvest seen) Apple: 2845A Pears 141A


**AF 1.1.2** Is a recording system established for each unit of production or other area/location to provide a record of the **Major Must** livestock/aquaculture production and/or agronomic activities undertaken at those locations? 

Justification: There is a recording system covering production activities for each unit of production. Records for the 2020/21 were reviewed for cherry, apple pear

##### AF 1.2 Site Management


**AF 1.2.1** Is there a risk assessment available for all sites registered for certification (this includes rented land, structures, **Major Must** and equipment) and does this risk assessment show that the site in question is suitable for production, with regards to food safety, the environment, and health and welfare of animals in the scope of the livestock and aquaculture certification where applicable? 

Justification: There is a risk assessment covering all agricultural areas signed by Adrian Silva and updated on 6/22/21. The risk assessment takes into account the site history and all AF Annex 2 elements. The sites appear to be appropriate for intended production. R-06


**AF 1.2.2** Has a management plan that establishes strategies to minimize the risks identified in the risk assessment (AF **Major Must** 1.2.1) been developed and implemented? 

Justification: A management plan dated 6/22/21 is in place covering the risks identified in AF 1.2.1 including erosion from tractors.

#### AF 2 RECORD KEEPING AND INTERNAL SELF-ASSESSMENT/INTERNAL INSPECTION

**AF 2.1** Are all records requested during the external inspection accessible and kept for a minimum period of 2 years, **Major Must** unless a longer requirement is stated in specific control points? 

Justification: Records of 2020/21 available to review.

**AF 2.2** Does the producer take responsibility to conduct a minimum of one internal self-assessment per year against **Major Must** the GLOBALG.A.P. Standard? 

Justification: Self assessment was done 6/1/21 by Adrian Silva



**AF 2.3 Major Must** Have effective corrective actions been taken as a result of non-conformances detected during the internal self-assessment or internal producer group inspections? ✔

Justification: 8 findings. Closed on 6/15/21

**AF 3 HYGIENE**

**AF 3.1 Minor Must** Does the farm have a written risk assessment for hygiene? ✔

Justification: There is a hygiene risk assessment for production activities which includes employees, bathrooms, handwashing, etc. Signed by Adrian Silva and dated 6/22/21

**AF 3.2 Minor Must** Does the farm have a documented hygiene procedure and visibly displayed hygiene instructions for all workers and visitors to the site whose activities might pose a risk to food safety? ✔

Justification: The hygiene procedures address potential risks identified in the risk assessment and appear to be complete. Signs are in Spanish and English and posted in all relevant locations.

**AF 3.3 Minor Must** Have all persons working on the farm received annual hygiene training appropriate to their activities and according to the hygiene instructions in AF 3.2? ✔

Justification: There has been a basic training course for hygiene, which includes management and staff. Ex: Cherry: Date of Training: reviewed 22 training records 6/18/21 ex. Omar g.z.

**AF 3.4 Major Must** Are the farm's hygiene procedures implemented? ✔

Justification: Visual inspection indicates all workers were complying with the hygiene procedures of the producer. Gabino interviewed and demonstrated a good understanding of the Company's hygiene policies and procedures.

**AF 4 WORKERS' HEALTH, SAFETY, AND WELFARE**

**AF 4.1 Health and Safety**

**AF 4.1.1 Minor Must** Does the producer have a written risk assessment for hazards to workers' health and safety? ✔

Justification: There is a risk assessment for hazards to worker health and safety appropriate for the farm, carried out by Adrian Silva and reviewed on 6/14/21. R-02

**AF 4.1.2 Minor Must** Does the farm have written health and safety procedures addressing issues identified in the risk assessment of AF 4.1.1? ✔

Justification: Written health and safety procedures address the hazards identified in AF 4.1.1 and are appropriate for the operation. They include safe operating procedures for equipment and tools plus emergency contact information in the event of an accident. Procedures are reviewed annually and written in Spanish and English.

**AF 4.1.3 Minor Must** Have all people working on the farm received health and safety training according to the risk assessment in AF 4.1.1? ✔

Justification: Reviewed training records Cherry: Date of Training: reviewed 22 training records 6/18/21 ex. Omar g.z.



**AF 4.2 Training**

**AF 4.2.1** Is there a record kept for training activities and attendees?  
Minor Must



Justification: Records of training activities are maintained and available for review. Reviewed training records. See comments for AF 3.3 and AF 4.1.3.

**AF 4.2.2** Do all workers handling and/or administering veterinary medicines, chemicals, disinfectants, plant protection products, biocides, and/or other hazardous substances and all workers operating dangerous or complex equipment as defined in the risk analysis in AF 4.1.1 have evidence of competence or details of other such qualifications?  
**Major Must**



Justification: Yes. Pesticide application license provided for David Silvernail #45415 12/31/21 WPS training 1/21/21 shows 24 employees trained in handler safety.

**AF 4.3 Hazards and First Aid**

**AF 4.3.1** Do accident and emergency procedures exist? Are they visually displayed, and are they communicated to all persons associated with the farm activities, including subcontractors and visitors?  
Minor Must



Justification: Written procedures exist for dealing with accidents/emergencies that include the farm address, contact person(s) and relevant phone numbers. The instructions are written in English and Spanish and posted at chemical storage.

**AF 4.3.2** Are potential hazards clearly identified by warning signs?  
Minor Must



Justification: yes hazards at orchard sites are marked

**AF 4.3.3** Is safety advice for substances hazardous to workers' health available/accessible?  
Minor Must



Justification: MSDS available at in front of shop at orchard sites

**AF 4.3.4** Are first aid kits available at all permanent sites and in the vicinity of fieldwork?  
Minor Must



Justification: There are first aid kits located in various places including chemical storage. First aid kits were inspected and stocked with items required for basic first aid such as bandages, gauze and tape. Interviews were conducted.

**AF 4.3.5** Are there always an appropriate number of persons (at least one person) trained in first aid present on each farm whenever on-farm activities are being carried out?  
Minor Must



Justification: Yes. First aid training by Firepoint for 18 signatures on 2/24/21, ex Jose Carrillo

**AF 4.4 Protective Clothing/Equipment**

**AF 4.4.1** Are workers, visitors, and subcontractors equipped with suitable protective clothing in accordance with legal requirements and/or label instructions and/or as authorized by a competent authority?  
**Major Must**



Justification: All protective clothing required per label instructions, is present and in sufficient quantity, including spray suits, respirators, gloves and boots.



**AF 4.4.2 Major Must** Is protective clothing cleaned after use and stored in such a way as to prevent contamination of personal clothing?

Justification: All protective clothing required per label instructions, is present and in sufficient quantity, including spray suits, respirators, gloves and boots.

**AF 4.5 Worker Welfare**

**AF 4.5.1 Major Must** Is a member of management clearly identifiable as responsible for the workers' health, safety, and welfare?

Justification: The written designation of the person responsible for health, safety and Welfare is Adrian Silva

**AF 4.5.2 Minor Must** Does regular two-way communication take place between management and workers on issues related to workers' health, safety, and welfare? Is there evidence of actions taken from such communication?

Justification: Regular meetings are held during the growing season. No actions have arisen from the meetings.

**AF 4.5.3 Major Must** Do workers have access to clean food storage areas, designated rest areas, handwashing facilities, and drinking water?

Justification: Workers have access to their private vehicles and also have access to toilets, hand wash stations and clean drinking water.

**AF 4.5.4 Major Must** Are on-site living quarters habitable and have the basic services and facilities?

Justification: H2A housing permits 2: Jones Tieton Drive Camp WA-0919-TWH Bowtie Camp WA-0897-TWH exp 2/28/22 Plus one TWH lease at Fairbridge hotel 4/24/22

**AF 4.5.5 Minor Must** Is transport for workers (on-farm, to and from fields/orchard) as provided by the producer safe and compliant with national regulations when used to transport workers on public roads?

Justification: Borton and Sons provides transportation for H2A workers, example Van inspection 2/18/21 for ford transit 350 2017 white.

**AF 5 SUBCONTRACTORS**

**AF 5.1 Major Must** When the producer makes use of subcontractors, do they oversee their activities in order to ensure that those activities relevant to GLOBALG.A.P. CPCC comply with the corresponding requirements?

Justification: G.S.Long does some contract spray applications, records kept in spray records, NW Aviation does aerial applications. Subcontractor risk assessments F-13 8/13/21

**AF 6 WASTE AND POLLUTION MANAGEMENT, RECYCLING, AND RE-USE**

**AF 6.1 Identification of Waste and Pollutants**

**AF 6.1.1 Minor Must** Have possible waste products and sources of pollution been identified in all areas of the farm?

Justification: All sources of pollution and possible waste products have been identified including paper, tires, oil, cardboard, exhaust, garbage, surplus application mix and tank washings.



**AF 6.2 Waste and Pollution Action Plan**

AF 6.2.1 Is there a documented farm waste management plan to avoid and/or minimize wastage and pollution to the extent possible, and does the waste management plan include adequate provisions for waste disposal? **Minor Must** ✓

Justification: Consideration has been given to the conversion of unproductive sites. Ex: unfarmed areas are left in natural state.

AF 6.2.2 Is the site kept in a tidy and orderly condition? **Major Must** ✓

Justification: The site appears to be clean and tidy at the time of the inspection.

AF 6.2.3 Are holding areas for diesel and other fuel oil tanks environmentally safe? **Minor Must** ✓

Justification: yes fuel tanks are legal to WA and have no smoking signs on them.

AF 6.2.4 Provided there is no risk of pest, disease, and weed carry-over, are organic wastes composted on the farm and recycled? **Recommendation** ✓

Justification: Organic waste is mulched into the soil.

AF 6.2.5 Is the water used for washing and cleaning purposes disposed of in a manner that ensures the minimum health and safety risks and environmental impact? **Recommendation** ✓

Justification: Waste water is being disposed of properly.

**AF 7 CONSERVATION**

**AF 7.1 Impact of Farming on the Environment and Biodiversity (Cross-Reference with AQ 9 of the Aquaculture Module)**

AF 7.1.1 Does each producer have a wildlife management and conservation plan for the farm business that acknowledges the impact of farming activities on the environment? **Minor Must** ✓

Justification: Yes. A Conservation Plan has been provided and was signed by Adrian Silva

AF 7.1.2 Has the producer considered how to enhance the environment for the benefit of the local community and flora and fauna? Is this policy compatible with sustainable commercial agricultural production and does it strive to minimize environmental impact of the agricultural activity? **Recommendation** ✗

Justification: No tangible actions in place to enhance the environment.

**AF 7.2 Ecological Upgrading of Unproductive Sites**

AF 7.2.1 Has consideration been given to the conversion of unproductive sites (e.g. low-lying wet areas, woodlands, headland strips, or areas of impoverished soil, etc.) to ecological focus areas for the encouragement of natural flora and fauna? **Recommendation** ✓

Justification: Consideration has been given to the conversion of unproductive sites. Ex: unfarmed areas are left in natural state.



**AF 7.3 Energy Efficiency**

**AF 7.3.1** Can the producer show monitoring of on-farm energy use?  
**Minor Must**



Justification: Producer monitors electricity and fuel use on the ranch. Maintenance logs are kept for tractors. Pacific Power 3/24/21 Yakima Co-Op fuel 3/17/21

**AF 7.3.2** Based on the result of the monitoring, is there a plan to improve energy efficiency on the farm?  
**Recommendation**



Justification: A plan to improve energy efficiency has not been finalized.

**AF 7.3.3** Does the plan to improve energy efficiency consider minimizing the use of non-renewable energy?  
**Recommendation**



Justification: hydro electric sourced.

**AF 7.4 Water Collection/Recycling**

**AF 7.4.1** Where feasible, have measures been implemented to collect water and, where appropriate, to recycle taking into consideration all food safety aspects?  
**Recommendation**



Justification: Water collection not practical for this operation.

**AF 8 COMPLAINTS**

**AF 8.1** Is there a complaint procedure available relating to both internal and external issues covered by the GLOBALG.A.P. Standard and does this procedure ensure that complaints are adequately recorded, studied, and followed up, including a record of actions taken?  
**Major Must**



Justification: Procedures for handling complaints and complaint forms are available. No complaints have been noted.

**AF 9 RECALL/WITHDRAWAL PROCEDURE**

**AF 9.1** Does the producer have documented procedures on how to manage/initiate the withdrawal/recall of certified products from the marketplace and are these procedures tested annually?  
**Major Must**



Justification: Yes. The has a procedure in place for the management of product withdrawal (Traceability and Recall) including the type of incident that may result in a product withdrawal, the persons responsible for taking appropriate decisions, the mechanism for notification of customers and GLOBALGAP certification body, and the methods for stock replacement. An annual product mock recall test was performed; the product was traced one step up (and one step back (to the production records for the block). Last mock recall test: 6/10/21 for cherries harvested 6/8/21, foreign matter was mock cause. lot # 29345. 675 clam shells accounted for.

**AF 10 FOOD DEFENSE (N/A FOR FLOWERS AND ORNAMENTALS AND PLANT PROPAGATION MATERIAL)**

**AF 10.1** Is there a risk assessment for food defense and are procedures in place to address identified food defense risks?  
**Major Must**



Justification: There is a current Food Defense Risk Assessment dated 6/14/21, and signed by Adrian Silva. R-05





AF 11 GLOBALG.A.P. STATUS

AF 11.1 Does all transaction documentation include reference to the GLOBALG.A.P. status and the GGN?

Major Must

N/A

Justification: there is an agreement in place with Borton Fruit, Congdon (pears) to not misuse the GGN,

AF 12 LOGO USE

AF 12.1 Is the GLOBALG.A.P. word, trademark, GLOBALG.A.P. QR code or logo and the GGN (GLOBALG.A.P. Number) used according to the GLOBALG.A.P. General Regulations and according to the 'Sublicense and Certification Agreement'?

Major Must

✓

Justification: Logo not used on consumer packaging.

AF 13 TRACEABILITY AND SEGREGATION

AF 13.1 Is there an effective system in place to identify and segregate all GLOBALG.A.P. certified and non-certified products?

Major Must

N/A

Justification: Parallel production/ownership is not part of the Company's operation.

AF 13.2 In the case of producers registered for parallel production/ownership (where certified and non-certified products are produced and/or owned by one legal entity), is there a system to ensure that all final products originating from a certified production process are correctly identified?

Major Must

N/A

Justification: Parallel production/ownership is not part of the Company's operation.

AF 13.3 Is there a final check to ensure the correct product dispatch of certified and non-certified products?

Major Must

N/A

Justification: Parallel production/ownership is not part of the Company's operation.

AF 13.4 Are appropriate identification procedures in place and records for identifying products purchased from different sources available for all registered products?

Major Must

N/A

Justification: Parallel production/ownership is not part of the Company's operation.

AF 14 MASS BALANCE

AF 14.1 Are sales records available for all quantities sold and all registered products?

Major Must

✓

Justification: 2021 cherry: 9,181 bins of cherries 2020 apple: 182,275 bins 2020 pears: 4,156 bins


AF 14.2 Are quantities (produced, stored, and/or purchased) recorded and summarized for all products?

Major Must

✓


Justification: yes the records are kept and available.



**AF 14.3** Are conversion ratios and/or loss (input-output calculations of a given production process) during handling   
**Major Must** calculated and controlled?


Justification: yes the records are kept electronically of the packouts.

**AF 15 FOOD SAFETY POLICY DECLARATION (N/A FOR FLOWERS AND ORNAMENTALS)**


**AF 15.1** Has the producer completed and signed the 'Food Safety Policy Declaration' included in the IFA checklist?   
**Major Must**

Justification: A current Food Safety Declaration has been signed by Adrian Silva and dated 6/1/21

**AF 16 FOOD FRAUD MITIGATION (N/A FOR FLOWERS AND ORNAMENTALS)**


**AF 16.1** Does the producer have a food fraud vulnerability risk assessment?   
**Minor Must**

Justification: A Food Fraud Risk Assessment has been signed by Adrian Silva and dated 6/14/21 R-12

**AF 16.2** Does the producer have a food fraud mitigation plan and has it been implemented?   
**Minor Must**

Justification: The Food Fraud Risk Assessment includes buying chemicals from reputable suppliers with original label. The plan is signed by Adrian Silva.


**AF 17 NON-CONFORMING PRODUCTS**

**AF 17.1** Does the producer have a documented procedure for non-conforming products and has it been implemented?   
**Major Must**

Justification: Borton Fruit provided a letter of Non conforming produce for acceptance of fruit. Implemented by training and poster boards. P-25 4.1 is orchard level procedures.

**CB CROPS BASE**

**CB 1 TRACEABILITY**

**CB 1.1** Is a GLOBALG.A.P. registered product traceable back to and trackable from the registered farm (and other   
**Major Must** relevant registered areas) where it has been produced and, if applicable, handled?

Justification: The traceback system includes pallet tags that identify the site, producer, date picked, crew, crop and shipper. Full traceability one step forward and one step back. 6/5/21 11 bins of Chelan cherries from 4-a went to borton zillah.

**CB 2 PROPAGATION MATERIAL**

**CB 2.1 Quality and Health**



CB 2.1.1 Minor Must When seeds or propagation material have been purchased in the past 24 months, is there evidence that guarantees they have been obtained in compliance with variety registration laws (in the case mandatory variety registration exists in the respective country)? ✔

Justification: Willow Drive Nursery Tree order 6/14/21 shows Cosmic Crisp for spring 2022. 28,000 trees with royalties and fees paid.

CB 2.1.2 Minor Must Has the propagation material used been obtained in accordance to applicable intellectual property laws? ✔

Justification: Willow Drive Nursery Tree order 6/14/21 shows Cosmic Crisp for spring 2022. 28,000 trees with royalties and fees paid.

CB 2.1.3 Minor Must Are plant health quality control systems operational for in-house nursery propagation? N/A

Justification: no nursery

## CB 2.2 Chemical Treatments and Dressings

CB 2.2.1 Minor Must Is the purchased propagation material (seed, rootstocks, seedlings, plantlets, cuttings) accompanied by information of chemical treatments done by the supplier? N/A

Justification: no treatments

CB 2.2.2 Minor Must Are PPP treatments recorded for in-house nursery propagation materials applied during the plant propagation period? N/A

Justification: no nursery

## CB 2.3 Genetically Modified Organisms (N/A if no Genetically Modified Varieties are Used)

CB 2.3.1 Major Must Does the planting of or trials with genetically modified organisms (GMOs) comply with all applicable legislation in the country of production? N/A

Justification: GMO crops or products derived from genetic modifications are not grown by the producer.

CB 2.3.2 Minor Must Is there documentation available of when the producer grows GMOs? N/A

Justification: No GMO

CB 2.3.3 Major Must Have the producer's direct clients been informed of the GMO status of the product? N/A

Justification: GMO crops or products derived from genetic modifications are not grown by the producer.

CB 2.3.4 Minor Must Is there a plan for handling genetically modified (GM) material (i.e. crops and trials) identifying strategies to minimize contamination risks (e.g. such as accidental mixing of adjacent non-GM crops) and maintaining product integrity? N/A

Justification: No GMO



**CB 2.3.5** Are GM crops stored separately from other crops to avoid adventitious mixing? N/A  
**Major Must**  
 Justification: GMO crops or products derived from genetic modifications are not grown by the producer.

**CB 3 SOIL MANAGEMENT AND CONSERVATION**

**CB 3.1** Does the producer have a soil management plan? ✔  
**Minor Must**  
 Justification: A soil management plan will be in place to maintain soil fertility based on nutrient needs of the crop. Soil test by G.S. Long for West Valley SOD Farm 10/30/20

**CB 3.2** Have soil maps been prepared for the farm? ✔  
**Recommendation**  
 Justification: Soil maps are available on shared drive.

**CB 3.3** Is there, where feasible, crop rotation for annual crops? N/A  
**Minor Must**  
 Justification: No crop rotation in permanent crops.

**CB 3.4** Have techniques been used to improve or maintain soil structure and avoid soil compaction? ✔  
**Minor Must**  
 Justification: Soil compaction avoidance techniques include minimizing in-row plowing and tractor operations

**CB 3.5** Does the producer use techniques to reduce the possibility of soil erosion? ✔  
**Minor Must**  
 Justification: Techniques used by the Company to reduce soil erosion include managing irrigations to prevent runoff.


**CB 3.6** Has the producer taken into account the nutrient contribution of organic fertilizer applications? N/A  
**Minor Must**  
 Justification: no organic used

**CB 3.7** Does the producer keep records on seed/planting rate, sowing/planting date? ✔  
**Minor Must**  
 Justification: 3/10/21 Firestorm HC planted, 4 blocks at Jones

**CB 4 FERTILIZER APPLICATION**

**CB 4.1 Advice on Quantity and Type of Fertilizer**

**CB 4.1.1** Are recommendations for the application of fertilizers (organic or inorganic) provided by competent and qualified persons? ✔  
**Minor Must**  
 Justification: Richard Anthony Schuler #63735 with G.S.Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

**CB 4.2 Records of Application**CB 4.2.1 Field, orchard or greenhouse reference and crop? 


Minor Must

Justification: Apple: COB3 east 1/3 block. Pears: bartletts only at Luepke. Cherry: jones block all

CB 4.2.2 Application dates? 

Minor Must

Justification: apple: 8/5/21 pears: 5/5/21 cherry: 4/8/21

CB 4.2.3 Applied fertilizer types? 


Minor Must

Justification: apple: 10-0-3.1-4..... Pears: 31-0-0-5.5 cherry: 34.5-27.8-14-14.....


CB 4.2.4 Applied quantities? 

Minor Must

Justification: apple: 20gallon/acre pears: 10gal/acre cherry: 33gallon/acre


CB 4.2.5 Method of application? 

Minor Must

Justification: apple pear: speed sprayer pointed down: tractor 85 and sprayer 3 pears: tractor 137 and sprayer 60  
cherry: tractor 39 and sprayer 6CB 4.2.6 Operator details? 


Minor Must

Justification: apple: Mario p. pears: Jaime c. cherry: Carlos

**CB 4.3 Fertilizer Storage**CB 4.3.1 Separately from PPPs? 


Minor Must

Justification: fertilizer storage is metal shed, on opposite wall from PPP

CB 4.3.2 In a covered area? 


Minor Must

Justification: shed is covered

CB 4.3.3 In a clean area? 

Minor Must

Justification: storage is clean

CB 4.3.4 In a dry area? 

Minor Must

Justification: storage is dry



CB 4.3.5 In an appropriate manner that reduces the risk of contamination of water sources? ✓  
 Minor Must

Justification: no risk of water contamination

CB 4.3.6 Not together with harvested products? ✓  
 Major Must

Justification: no harvested fruit

CB 4.3.7 Is there an up-to-date fertilizer stock inventory or stock calculation listing incoming fertilizer and records of use available? ✓  
 Minor Must

Justification: fertilizer 8/12/21 Can 21 20 gallons

**CB 4.4 Organic Fertilizer**

CB 4.4.1 Does the producer prevent the use of human sewage sludge on the farm? ✓  
 Major Must

Justification: Human sewage sludge is not used.

CB 4.4.2 Has a risk assessment been carried out for organic fertilizer, which, prior to application, considers its source, characteristics and intended use? N/A  
 Minor Must

Justification: not used

CB 4.4.3 Is organic fertilizer stored in an appropriate manner that reduces the risk of contamination of the environment? N/A  
 Minor Must

Justification: No organic fertilizers stored.

**CB 4.5 Nutrient Content of Inorganic Fertilizers**

CB 4.5.1 Is the content of major nutrients (NPK) of applied fertilizers known? ✓  
 Minor Must

Justification: apple: 10-0-3.1-4..... Pears: 31-0-0-5.5 cherry: 34.5-27.8-14-14.....

CB 4.5.2 Are purchased inorganic fertilizers accompanied by documented evidence of chemical content, which *Recommendation* includes heavy metals? ✓

Justification: website with blend ticket provided.

**CB 5 WATER MANAGEMENT**

**CB 5.1 Predicting Irrigation Requirements**



CB 5.1.1 Are tools used routinely to calculate and optimize the crop irrigation requirements? ✔  
 Minor Must

Justification: Yes. Monitoring of soil moisture and weather information is performed by grower and irrigation schedules are used.

**CB 5.2 Efficient Water Use on Farm**

CB 5.2.1 Has a risk assessment been undertaken that evaluates environmental issues for water management on the farm ✔  
**Major Must** and has it been reviewed by the management within the previous 12 months?

Justification: Environmental risk assessment dated 6/22/21 and signed by Adrian Silva.

CB 5.2.2 Is there a water management plan available that identifies water sources and measures to ensure the efficiency ✔  
**Major Must** of application and which management has approved within the previous 12 months?

Justification: R-01 covers Irrigation, spray, preharvest water uses and sources. Covers testing results sources: pond, wells, Tieton ID. Drip and overhead irrigation is used. Quality is considered. Frequency of testing sampling methods/procedures. Signed by Adrian S 6/15/21

CB 5.2.3 Are records for crop irrigation/fertigation water usage and for the previous individual crop cycle/s with total ✔  
 Minor Must application volumes maintained?

Justification: irrigation logs: example of Bergoff 7/1 - 8/14/21 seen.

**CB 5.3 Water Quality**

CB 5.3.1 Is the use of treated sewage water in pre-harvest activities justified according to a risk assessment? ✔  
**Major Must**

Justification: Treated sewage water is not used on the farm.

CB 5.3.2 Has a risk assessment on physical and chemical pollution of water used on pre-harvest activities (e.g. ✔  
 Minor Must irrigation/fertigation, washings, spraying) been completed and has it been reviewed by the management within the last 12 months?

Justification: A risk assessment has been carried out for physical and chemical pollution of pre-harvest water activities dated 6/15/21 and signed by Adrian Silva. R-01

CB 5.3.3 Is water used on pre-harvest activities analyzed at a frequency in line with the risk assessment (CB 5.3.2) taking ✔  
 Minor Must into account current sector specific standards?

Justification: water test on 5/26/21 range of 10 irrigation tests for E.coli <1mpn/100ml-32mpn/100ml

CB 5.3.4 According to the risk assessment in CB 5.3.2 and current sector specific standards, does the laboratory analysis ✔  
 Minor Must consider chemical and physical contamination, and is the laboratory accredited against ISO 17025 or by competent national/local authorities for testing water?

Justification: Eurofins Cascade Analytical, Inc. 3019 G.S. Center Road, Wenatchee, WA 98801 1008 W Ahtanum Road, Ste. 2, Union Gap, WA 98903 ISO/IEC 17025:2017 Initial Accreditation Date: Issue Date: Expiration Date: May 14, 2013 July 19, 2019 July 31, 2021 Accreditation No: Certificate No: 74266 L19-354

CB 5.3.5 Are corrective actions taken based on adverse results from the risk assessment before the next harvest cycle? ✔  
 Minor Must

Justification: No corrective actions required.



**CB 5.4 Supply of Irrigation/Fertigation Water**

**CB 5.4.1** Where legally required, are there valid permits/licenses available for all farm water extraction, water storage infrastructure, on-farm usage and, where appropriate, any subsequent water discharge? **Minor Must**



Justification: Water is from the Tieton ID The legal amount available to the grower is provided in acre-feet and is monitored by the irrigation district (See CB 5.2.3). Tieton Irrigation District, has water rights.

**CB 5.4.2** Where the water permits/licenses indicate specific restrictions, do the water usage and discharge records confirm that the management has complied with these? **Major Must**



Justification: See CB 5.4.1. annually for water usage based on farmable acreage.

**CB 5.5 Water Storage Facilities**

**CB 5.5.1** Are water storage facilities present and well maintained to take advantage of periods of maximum water availability? **Recommendation**



Justification: ponds are lined, fenced and have signs.

**CB 6 INTEGRATED PEST MANAGEMENT**

**CB 6.1** Has assistance with the implementation of IPM systems been obtained through training or advice? **Minor Must**



Justification: Richard Anthony Schuler #63735 with G.S.Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

**CB 6.2** Prevention? **Major Must**



Justification: Evidence provided of the following two activities : Example #1 removing sucker plants that harbor pests Example #2 mowing in between rows being carried out in the category of "Prevention".

**CB 6.3** Observation and Monitoring? **Major Must**



Justification: Evidence provided of two "Observation and Monitoring" activities for , including third party monitoring and management monitoring.

**CB 6.4** Intervention? **Major Must**



Justification: Intervention techniques, usually through the application of the appropriate pesticide, are used when monitoring indicates an economic threshold has been reached.

**CB 6.5** Have anti-resistance recommendations, either on the label or other sources, been followed to maintain the effectiveness of available PPPs? **Minor Must**



Justification: Rotation of PPPs is practiced.

**CB 7 PLANT PROTECTION PRODUCTS**





**CB 7.1 Choice of Plant Protection Products**

**CB 7.1.1** Is a current list kept of PPPs that are authorized in the country of production for use on crops being grown?  
Minor Must



Justification: Reviewed list of plant protection products used. PPPs used are authorized in the US and retail markets. Lorsban.

**CB 7.1.2** Does the producer only use PPPs that are currently authorized in the country of use for the target crop (i.e. where such an official registration scheme exists)?  
**Major Must**



Justification: All plant protection products applied to the crop are recorded in the Plant protection Product Register of WSDA. Example: Lorsban.

**CB 7.1.3** Is the PPP that has been applied appropriate for the target as recommended on the product label?  
**Major Must**



Justification: Application records reviewed. PPPs applied to crop are suitable. insects.

**CB 7.1.4** Are invoices of PPPs kept?  
Minor Must



Justification: G.s.Long invoice 8/13/21 shows Delegate 17 units

**CB 7.2 Advice on Quantity and Type of Plant Protection Products**

**CB 7.2.1** Are the persons selecting the PPPs competent to make that choice?  
**Major Must**



Justification: Richard Anthony Schuler #63735 with G.S.Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

**CB 7.3 Records of Application**

**CB 7.3.1** Are records of all PPP applications kept and do they include the following minimum criteria: Crop name and/or variety • Application location • Date and end time of application • Product trade name and active ingredient • Pre-harvest interval  
**Major Must**



Justification: Plant protection product application records exist for crops intended for certification. Ex: Crop: Cherries , Location: KAY 12B, 15CC and YC Application Date: 6/10/21 Time : 5am Product: Danitol 2.4ec (Fenprothrin, 59639-35) Pre-harvest interval: 3days. Crop: Pears , Location: Bartletts at HTFRA Application Date: 7/17/21 Time : 4am Product: Nuprid 4F Max(imidacloprid 228-528) Pre-harvest interval: 7days. Crop: Apple , Location: all Honeycrisp at NH Zimmerman Application Date: 7/23/21 Time : 11am Product: Envidor 2 SC (264-831, spirodiclofen) Pre-harvest interval: 7days.

**CB 7.3.2** Operator?  
Minor Must



Justification: Cherry: Mario pear: Israel apple: Israel

**CB 7.3.3** Justification for application?  
Minor Must



Justification: All plant protection product application records include the justification for application. Ex: fruit fly, pear psylla, mites



CB 7.3.4 Technical authorization for application? ✔  
Minor Must

Justification: Richard Anthony Schuler #63735 with G.S.Long provides advice for fertilizers, IPM, and PPP applications. Employed for that purpose by competent organization.

CB 7.3.5 Product quantity applied? ✔  
Minor Must

Justification: Cherry: 16froz per acre pear: 8froz/acre apple: 18froz /acre

CB 7.3.6 Application machinery used? ✔  
Minor Must

Justification: cherry: tractor 134, sprayer 6 pear: tractor 66 and sprayer 3 apple: T44 and S48

CB 7.3.7 Weather conditions at time of application? ✔  
Minor Must

Justification: Weather conditions at the time of application are included: cherry: 52F 3wind. Pear: 65F 2mph

CB 7.3.8 Does the producer take active measures to prevent pesticide drift to neighboring plots? ✔  
Minor Must

Justification: Application records reviewed show that farm takes active measures to prevent pesticide drift to neighboring plots (only spraying when wind is not blowing).

CB 7.3.9 Does the producer take active measures to prevent pesticide drift from neighboring plots? ✘  
*Recommendation*

Justification: No evidence provided that measures have been taken to prevent drift from neighboring plots.

**CB 7.4 Pre-Harvest Interval (N/A for Flowers and Ornamentals)**

CB 7.4.1 Have the registered pre-harvest intervals been complied with? ✔  
**Major Must**

Justification: Cherry sprayed 6/10/21with 3PHI, first harvest 6/ 6/16/21 pear sprayed 7/17/21 with 7 phi, first harvest sometime in August. Apple sprayed 7/23/21 with 7 phi, first harvest expected in September.

**CB 7.5 Disposal of Surplus Application Mix**

CB 7.5.1 Is surplus application mix or tank washings disposed of in a way that does not compromise food safety and the environment? ✔  
Minor Must

Justification: Surplus application mix and tanks washings are managed in a manner that does not compromise food safety or the environment. Procedures exist.

**CB 7.6 Plant Protection Product Residue Analysis (N/A for Flowers and Ornamentals or Plant Propagation Material Production)**

CB 7.6.1 Can the producer demonstrate that information regarding the Maximum Residue Levels (MRLs) of the country(ies) of destination (i.e. market(s) in which the producer intends to trade) is available? ✔  
**Major Must**

Justification: A list of current applicable MRLs and countries is available online at NW Hort Council.



**CB 7.6.2** Has action been taken to meet the MRLs of the market in which the producer is intending to trade the produce? **Major Must** ✓

Justification: Evidence provided, including following label directions, using authorized chemicals and residue testing, to demonstrate that MRL's in place for intended markets have been taken into account.

**CB 7.6.3** Has the producer completed a risk assessment covering all registered crops to determine if the products will be compliant with the MRLs in the country of destination? **Major Must** ✓

Justification: A risk assessment signed by Adrian Silva and dated 6/22/21 has been carried out and includes plant protection products used. Risk of MRL exceedance exists.

**CB 7.6.4** Is there evidence of residue tests, based on the results of the risk assessment? **Major Must** ✓

Justification: 2021 cherry: 7/15/21 shows no exceedance for target markets 2021 apple: 8/11/21 no exceedances. 2021 pear: 8/10/21 shows no exceedance for target markets,

**CB 7.6.5** Correct sampling procedures are followed? **Minor Must** ✓

Justification: yes sampling followed

**CB 7.6.6** The laboratory used for residue testing is accredited by a competent national authority to ISO 17025 or equivalent standard? **Minor Must** ✓

Justification: PAL is an Accredited Laboratory, ISO/IEC 17025:2005, Perry Johnson Laboratory Accreditation, Inc., Accreditation #64422

**CB 7.6.7** An action plan is in place in the event of an MRL is exceeded? **Major Must** ✓

Justification: Chelan Fresh (Borton Fruit) controls the destination of the fruit based on MRL results.

**CB 7.7 Plant Protection Product Storage**

**CB 7.7.1** Are PPPs stored in accordance with local regulations in a secure place with sufficient facilities for measuring and mixing them, and are they kept in their original package? **Major Must** ✓

Justification: WSDA regulations are followed. PPPs are kept in original containers and there are facilities present for measuring and mixing them.

**CB 7.7.2** Sound? **Minor Must** ✓

Justification: Saw 2 chemical sheds, main one at "the office" (large metal shed) and at "The Reservation" (metal trailer/container)

**CB 7.7.3** Appropriate to the temperature conditions? **Minor Must** ✓

Justification: The reservation is storage for daily use, the Main shed is insulated, heated and vented for temperatures.

**CB 7.7.4** Well ventilated (in the case of walk-in storage)? **Minor Must** ✓

Justification: The storage shed is well ventilated



CB 7.7.5	Well lit?	✓
Minor Must		
Justification: yes, Supplemental lighting available if needed. Flashlights available		
CB 7.7.6	Located away from other materials?	✓
Minor Must		
Justification: PPP is separate from other products or Food sources		
CB 7.7.7	Is all PPP storage shelving made of non-absorbent material?	✓
Minor Must		
Justification: PPP shelving is made of metal		
CB 7.7.8	Is the PPP storage facility able to retain spillage?	✓
Minor Must		
Justification: Storage is able to retain spillage. The office is cement flooring, satellite storage is sealed metal.		
CB 7.7.9	Are there facilities to deal with spillage?	✓
Minor Must		
Justification: Yes, spill clean up kit: brush and dustpan and plastic bags and cat litter with instructions.		
CB 7.7.10	Are keys and access to the PPP storage facility limited to workers with formal training in the handling of PPPs?	✓
Minor Must		
Justification: Evidence provided demonstrating that plant protection product store is kept under lock and key. Only Gabino at "the office" and at the Reservation Joel has keys.		
CB 7.7.11	Are PPPs approved for use on the crops registered for GLOBALG.A.P. Certification stored separately within the storage facility from PPPs used for other purposes?	✓
Minor Must		
Justification: Evidence provided of all the plant protection products currently kept in the plant protection product store being officially approved and registered in the Plant Protection Product Register of the WSDA.		
CB 7.7.12	Are liquids not stored on shelves above powders?	✓
Minor Must		
Justification: Liquids were seen stored below powders.		
CB 7.7.13	Is there an up-to-date PPP stock inventory or calculation of stock with incoming PPPs and records of use available?	✓
Minor Must		
Justification: Updated PPP inventory list: 8/12/21 Alias 8 gallons		
CB 7.7.14	Is the accident procedure visible and accessible within 10 meters of the PPP/chemical storage facilities?	✓
Minor Must		
Justification: Yes, accident procedures are visible at the storage and mixing area.		
CB 7.7.15	Are there facilities to deal with accidental operator contamination?	✓
Minor Must		
Justification: Facilities (eye wash, fresh water, first aid kit) are in place and within 10 meters of PPP storage and mixing areas.		



**CB 7.8 Plant Protection Product Handling (N/A if no Plant Protection Product Handling)**

**CB 7.8.1** Does the producer offer all workers who have contact with PPPs the possibility to be submitted to annual health checks or with a frequency according to a risk assessment that considers their exposure and toxicity of products used? **Minor Must** ✓

Justification: Anova Works letter shows: February 2021 29 tested.

**CB 7.8.2** Are there procedures dealing with re-entry times on the farm? **Major Must** ✓

Justification: There are clear and documented procedures restricting and posted at the shop with spray date chemical and REI time

**CB 7.8.3** If concentrate PPPs are transported on and between farms, are they transported in a safe and secure manner? **Minor Must** ✓

Justification: Farm is centralized, chemicals are checked out to store in satellite chemical sheds at orchard sites.

**CB 7.8.4** When mixing PPPs, are the correct handling and filling procedures followed as stated on the label? **Minor Must** ✓

Justification: Facilities have necessary filling and measuring equipment to accurately mix plant protection products and are suitable for that purpose.

**CB 7.9 Empty Plant Protection Product Containers**

**CB 7.9.1** Are empty containers rinsed either via the use of an integrated pressure-rinsing device on the application equipment or at least 3 times with water before storage and disposal, and is the rinsate from empty containers returned to the application equipment tank or disposed of in accordance with CB 7.5.1? **Major Must** ✓

Justification: Empty containers are rinsed at least three times with water. The rinsate is returned to the application tank and applied to the crop in accordance with CB 7.1.5.

**CB 7.9.2** Is re-use of empty PPP containers for purposes other than containing and transporting the identical product being avoided? **Minor Must** ✓

Justification: There was no evidence that PPP containers were being reused.

**CB 7.9.3** Are empty containers kept secure until disposal is possible? **Minor Must** ✓

Justification: There is a designated secure store point for all empty plant protection products. It is permanently signed and with physically restricted access for persons and fauna.

**CB 7.9.4** Does disposal of empty PPP containers occur in a manner that avoids exposure to humans and contamination of the environment? **Minor Must** ✓

Justification: Disposal storage is secure and disposal procedures include triple rinsing, removing labels and discarding caps. Exposure to people and the environment is compliant.

**CB 7.9.5** Are official collection and disposal systems used when available, and in that case are the empty containers adequately stored, labeled, and handled according to the rules of a collection system? **Minor Must** ✓

Justification: Empty PPP containers are stored, handled and disposed according to instructions provided by g.S.long.



**CB 7.9.6** Are all local regulations regarding disposal or destruction of containers observed? ✓

**Major Must**

Justification: Evidence provided that all relevant and current legislation and regulations regarding the disposal of empty plant protection product containers is met.

**CB 7.10 Obsolete Plant Protection Products**

**CB 7.10.1** Are obsolete PPPs securely maintained and identified and disposed of by authorized or approved channels? N/A

**Minor Must**

Justification: There are no obsolete products stored on site.

**CB 7.11 Application of Substances other than Fertilizer and Plant Protection Products**

**CB 7.11.1** Are records available for all other substances, including those that are made on-farm, used on crops and/or soil that are not covered under the sections on fertilizer and PPPs? N/A

**Minor Must**

Justification: No other substances used.

**CB 8 EQUIPMENT**

**CB 8.1** Is equipment sensitive to food safety (e.g. PPP sprayers, irrigation/fertigation equipment, post-harvest product application equipment) maintained in a good state of repair, routinely verified and, where applicable, calibrated at least annually, and are records of measures taken within the previous 12 months available? ✓

**Minor Must**

Justification: Maintenance logs of equipment used to apply plant protection products are available. The calibration of application machinery has been verified in the last 12 months. Sprayers calibrated 3/16/21 for 6 sprayers

**CB 8.2** Is equipment sensitive to the environment and other equipment used on the farming activities (e.g. fertilizer spreaders, equipment used for weighing and temperature control) routinely verified and, where applicable, calibrated at least annually? ✓

**Minor Must**

Justification: Logs of calibration for scales, sprayers and measuring devices are available. 3 scales calibrated 3/19/21. fertilizer calibration 1/14/21

**CB 8.3** Is the producer involved in an independent calibration-certification scheme, where available? N/A

*Recommendation*

Justification: No third-party calibration.

**CB 8.4** Is the PPP equipment stored in such a way as to prevent product contamination? ✓

**Minor Must**

Justification: Application equipment is stored at shop and away from product to avoid contamination.

**FV FRUIT AND VEGETABLES**

**FV 1 SITE MANAGEMENT**



**FV 1.1 Risk Assessment**

**FV 1.1.1 Major Must** Does the risk assessment for the farm site carried out as identified in AF 1.2.1 make particular reference to microbial contamination?

Justification: The risk assessment for the site, as identified in AF 1.2.1, identifies microbial contamination as a hazard.

**FV 1.1.2 Major Must** Has a management plan that establishes and implements strategies to minimize the risks identified in FV 1.1.1 been developed and implemented?

Justification: A management plan has been established and implemented to minimize the risks identified in FV 1.1.1 such as monitoring fields for animal intrusion.

**FV 2 SOIL MANAGEMENT (N/A IF NO SOIL FUMIGATION IS PRACTICED)**

**FV 2.1 Soil Fumigation (N/A if no Soil Fumigation)**

**FV 2.1.1 Minor Must** Is there a written justification for the use of soil fumigants?

Justification: Trident Agriculture products replant disease skyview ranch- 3/16/21 Telone C35 (62719-302, chlorociprin) 27gallon/acre broadcast Paul Elizonado

**FV 2.1.2 Minor Must** Is any pre-planting interval complied with prior to planting?

Justification: one block replanted to Rockit apples Block 5 at skyvu

**FV 3 SUBSTRATES (N/A IF SUBSTRATES ARE NOT USED)**

**FV 3.1 Recommendation** Does the producer participate in substrate recycling programs for substrates where available?

Justification: No substrates used.

**FV 3.2 Major Must** If chemicals are used to sterilize substrates for reuse, have the location, the date of sterilization, type of chemical, method of sterilization, name of the operator and pre-planting interval been recorded?

Justification: No substrates used.

**FV 3.3 Minor Must** If a substrate of natural origin is used, can it be demonstrated that it does not come from designated conservation areas?

Justification: No substrates used.

**FV 4 PRE-HARVEST (REFER TO 'ANNEX FV 1 GLOBALG.A.P. GUIDELINE: MICROBIOLOGICAL HAZARDS DURING GROWING AND HANDLING')**



**FV 4.1 Quality of Water Used on Pre-Harvest Activities (This Applies to Water Used on all Farm Activities and on the Product Itself Before it is Harvested)**

**FV 4.1.1 Major Must** Is there evidence of a risk assessment covering the microbiological quality of the water used in all pre-harvest operations? ✔

Justification: A risk assessment dated 6/15/21 and signed by Adrian Silva addresses the microbiological quality of water used in all pre-harvest activities.

**FV 4.1.2a Major Must** In case of leafy greens (also called potherbs, greens, vegetable greens, leafy greens, or salad greens); is water used on pre-harvest activities analyzed as part of the risk assessment and at a frequency in line with that risk assessment (FV 4.1.1.) and no less than indicated in Annex FV1? N/A

Justification: Not leafy greens

**FV 4.1.2b Minor Must** For all crops not mentioned under FV 4.1.2a; is water used on pre-harvest activities analyzed as part of the risk assessment, at a frequency in line with that risk assessment (FV 4.1.1), and no less than indicated in Annex FV 1? ✔

Justification: water test on 5/26/21 range of 10irrigation tests for E.coli <1mpn/100ml-32mpn/100ml

**FV 4.1.3 Major Must** In the case the risk assessment or the water tests require it, has the producer implemented adequate actions to prevent product contamination? ✔

Justification: No adverse actions required

**FV 4.1.4 Minor Must** According to the risk assessment, FV 4.1.1, and current sector specific standards, does the laboratory analysis consider microbiological contamination, and is the laboratory accredited against ISO 17025 or by competent national/local authorities for testing water? ✔

Justification: Eurofins Cascade Analytical, Inc. 3019 G.S. Center Road, Wenatchee, WA 98801 1008 W Ahtanum Road, Ste. 2, Union Gap, WA 98903 ISO/IEC 17025:2017 Initial Accreditation Date: Issue Date: Expiration Date: May 14, 2013 July 19, 2019 July 31, 2021 Accreditation

**FV 4.2 Application of Organic Fertilizer of Animal Origin**

**FV 4.2.1 Major Must** Does the interval between the application of organic fertilizer and the product harvest not compromise food safety? N/A

Justification: no organic fertilizer used

**FV 4.3 Pre-Harvest Check**

**FV 4.3.1 Minor Must** Is there lack of evidence of excessive animal activity in the crop production area that is a potential food safety risk? ✔


Justification: No evidence of excessive animal activity.

**FV 5 HARVEST AND POST-HARVEST (PRODUCT HANDLING) ACTIVITIES** Control points covered in FV 5.1.1 to FV 5.8.10 may be applicable during harvest and/or handling at the point of harvest (on field) and/or handling in packinghouse (facility) and/or during storage/cooling. All these points shall be evaluated in all cases when and where applicable.







**FV 5.1 Principles of Hygiene (Refer to 'Annex FV 1 GLOBALG.A.P. Guideline: Microbiological Hazards During Growing and Harvest')**

**FV 5.1.1 Major Must** Has a hygiene risk assessment been performed for the harvest, pre- and post-farm gate transport process, and post-harvest activities including product handling? 


Justification: A hygiene risk analysis for the harvest and pre-farm gate transport process has been performed, reviewed on 6/22/21 and signed by Adrian Silva, including all potential sources of contamination.

**FV 5.1.2 Major Must** Are there documented hygiene procedures and instructions for the harvest and post-harvest processes including product handling (also when they take place directly on the field, orchard, or greenhouse) designed to prevent contamination of crop, crop production areas, food contact surfaces, and harvested product? 


Justification: There is a documented hygiene procedure for the harvesting process (hygiene rules, hygiene training, harvesting techniques, hygiene of harvesting tools,....) dated 6/22/21. Procedures include evaluating whether workers are fit to return to work after an illness.

**FV 5.1.3 Major Must** Are the hygiene procedures and instructions for the harvest and post-harvest activities, including product handling, implemented? 


Justification: Adrian Silva is responsible for implementation of the hygiene procedures for pears, apples and cherries among workers and contractors. The risk assessment does not require harvest workers to wear specific clothing.

**FV 5.1.4 Major Must** Have workers received specific training in hygiene before harvesting and handling produce? 

Justification: Cherry: Date of Training: reviewed 22 training records 6/18/21 ex. Omar g.z. Apple/Pear training: P-20 form. 6/3/21 shows 39 signatures trained in harvest hygiene. Ex Luis s.


**FV 5.1.5 Major Must** Are signs that communicate the primary hygiene instructions to workers and visitors, including at least instructions to workers, to wash their hands before returning to work clearly displayed? 

Justification: Signs are posted in Spanish and English in several locations reminding visitors and workers about the hygiene and safety requirements of Orchard.


**FV 5.1.6 Major Must** Are smoking, eating, chewing, and drinking confined to designated areas segregated from growing areas and products? 

Justification: Signs indicate smoking, eating, chewing and drinking restricted to designated areas.

**FV 5.2 Sanitary Facilities**

**FV 5.2.1 Major Must** Do harvest workers who come into direct contact with the crops have access to appropriate handwashing equipment and make use of it? 

Justification: 2 toilets seen with soap, towels, handwash, signs, trash serviced by Cliffs Septic. Inspection log 5/3- 6/18 seen toilet inspection log: 7/15-8/12/21 for "the reservation" site. Seen. Mobile toilet trailers have soap, trash, tp, paper towels.

**FV 5.2.2 Minor Must** Do harvest workers have access to clean toilets in the vicinity of their work? 

Justification: 2 toilets seen with soap, towels, handwash, signs, trash serviced by Cliffs Septic. Inspection log 5/3- 6/18 seen toilet inspection log: 7/15-8/12/21 for "the reservation" site. Seen. Mobile toilet trailers have soap, trash, tp, paper towels.



**FV 5.2.3 Major Must** Do workers handling the product on the field or in a facility have access to clean toilets and handwashing facilities in the vicinity of their work?

Justification: 2 toilets seen with soap, towels, handwash, signs, trash serviced by Cliffs Septic. Inspection log 5/3- 6/18 seen toilet inspection log: 7/15-8/12/21 for "the reservation" site. Seen. Mobile toilet trailers have soap, trash, tp, paper towels.

**FV 5.2.4 Major Must** Are the harvest containers used exclusively for produce and are these containers, the tools used for harvesting and the harvest equipment appropriate for their intended use and cleaned, maintained, and able to protect the product from contamination?

Justification: All harvest containers belong to Borton Fruit and are only used to hold harvested fruit.

**FV 5.2.5 Recommendation** Are there suitable changing facilities for the workers?

Justification: Not required.

**FV 5.2.6 Major Must** Are vehicles used for transport of harvested produce and/or packed product and any equipment used for loading, cleaned, and maintained where necessary according to risk?

Justification: Pre-farm gate transport equipment is inspected and cleaned before loading.

**FV 5.3 Water Quality**

**FV 5.3.1 Major Must** If ice (or water) is used during any operations relating to harvest or cooling, does it meet the microbial standards for drinking water, and is it handled under sanitary conditions to prevent produce contamination?

Justification: new foam pads with potable water. 5/13/21 well E.coli <1mpn/100ml, coliform E.coli <1mpn/100ml

**FV 5.4 Packing and Storage Areas (N/A When There is no Product Packing and/or Storing)**

**FV 5.4.1 Major Must** Is harvested produce protected from contamination?

Justification: No packing or storage

**FV 5.4.2 Major Must** Are all collection/storage/distribution points of packed produce, also those in the field, maintained in clean and hygienic conditions?

Justification: No packing or storage

**FV 5.4.3 Major Must** Are packing materials appropriate for use, and are they used and stored in clean and hygienic conditions so as to prevent them from becoming a source of contamination?

Justification: No packing or storage

**FV 5.4.4 Minor Must** Are bits of packaging material and other non-produce waste removed from the field?

Justification: There is no packing or storage on site.

**FV 5.4.5 Minor Must** Are cleaning agents, lubricants, etc. stored to prevent chemical contamination of produce?

Justification: There is no packing or storage on site.



FV 5.4.6 Are cleaning agents, lubricants, etc. that may come into contact with produce approved for application in the food industry? Are label instructions followed correctly? N/A  
Minor Must

Justification: There is no packing or storage on site.

FV 5.4.7 Are all forklifts and other driven transport trolleys clean and well maintained and of a suitable type to avoid contamination through emissions? N/A  
Recommendation

Justification: There is no packing or storage on site.

FV 5.4.8 Is rejected and contaminated produce not introduced in the supply chain and is waste material effectively controlled in a way that it does not pose a risk of contamination? N/A  
Major Must

Justification: No packing or storage

FV 5.4.9 Are breakage safe lamps and/or lamps with a protective cap used above the sorting, weighing, and storage area? N/A  
Major Must

Justification: No packing or storage

FV 5.4.10 Are there written procedures for handling glass and clear hard plastic in place? N/A  
Minor Must

Justification: There is no packing or storage on site.

## FV 5.5 Temperature and Humidity Control

FV 5.5.1 Are temperature and humidity controls (where applicable) maintained and documented? N/A  
Minor Must

Justification: There is no packing or storage on site.

## FV 5.6 Pest Control

FV 5.6.1 Is there a system for monitoring and correcting pest populations in the packing and storing areas? ✓  
Major Must

Justification: No packing or storage

FV 5.6.2 Is there visual evidence that the pest monitoring and correcting process are effective? ✓  
Major Must

Justification: No packing or storage

FV 5.6.3 Are detailed records kept of pest control inspections and necessary actions taken? N/A  
Minor Must

Justification: There is no packing or storage on site.

## FV 5.7 Post-Harvest Washing (N/A When no Post-Harvest Washing)

<b>FV 5.7.1</b>	Is the source of water used for final product washing potable or declared suitable by the competent authorities?	N/A
<b>Major Must</b>		
Justification: No packing or storage		


<b>FV 5.7.2</b>	If water is re-circulated for final product washing, has this water been filtered and are pH, concentration and exposure levels to disinfectant routinely monitored?	N/A
<b>Major Must</b>		
Justification: No packing or storage		

<b>FV 5.7.3</b>	Is the laboratory carrying out the water analysis a suitable one?	N/A
<b>Minor Must</b>		
Justification: There is no packing or storage on site.		

**FV 5.8 Post-Harvest Treatments (N/A When no Post-Harvest Treatments)**

<b>FV 5.8.1</b>	Are all label instructions observed?	N/A
<b>Major Must</b>		
Justification: No packing or storage		


<b>FV 5.8.2</b>	Are all the biocides, waxes, and plant protection products used for post-harvest protection of the harvested crop officially registered in the country of use?	N/A
<b>Major Must</b>		
Justification: No packing or storage		

<b>FV 5.8.3</b>	Is an up-to-date list maintained of post-harvest plant protection products that are used, and approved for use, on crops being grown?	
<b>Minor Must</b>		
Justification: There is no packing or storage on site.		

<b>FV 5.8.4</b>	Is the technically responsible person for the application of post-harvest plant protection products able to demonstrate competence and knowledge with regard to the application of biocides, waxes, and plant protection products?	N/A
<b>Major Must</b>		
Justification: No packing or storage		

<b>FV 5.8.5</b>	Is the source of water used for post-harvest treatments potable or declared suitable by the competent authorities?	N/A
<b>Major Must</b>		
Justification: No packing or storage		

<b>FV 5.8.6</b>	Are the biocides, waxes and plant protection products used for post-harvest treatment stored away from produce and other materials?	N/A
<b>Major Must</b>		
Justification: No packing or storage		

<b>FV 5.8.7</b>	Are all records of post-harvest treatments maintained and do they include the minimum criteria listed below? • Identity of harvested crops (i.e. lot or batch of produce) • Location • Application dates • Type of treatment • Product trade name and active ingredient • Product quantity	
<b>Major Must</b>		
Justification: No packing or storage		

<b>FV 5.8.8</b>	Name of the operator?	N/A
<b>Minor Must</b>		
Justification: There is no packing or storage on site.		



**FV 5.8.9** Justification for application? N/A  
**Minor Must**

Justification: There is no packing or storage on site.

**FV 5.8.10** Are all of the post-harvest plant protection product applications also considered under points CB 7.6? N/A  
**Major Must**

Justification: No packing or storage

**FV 5.9 Labeling**

**FV 5.9.1** Is product labeling, where final packing takes place, done according to the applicable food regulations in the N/A  
**Major Must** country of intended sale and according to any customer specifications?

Justification: No packing or storage

**FV 5.9.2** Where the risk assessment indicates potential food allergen cross-contamination, are the products labeled to N/A  
**Major Must** identify them?

Justification: No packing or storage

## Documents attached

File name	Control Point	Description
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